

# REALISING THE $C^*$ -ALGEBRA OF A HIGHER-RANK GRAPH AS AN EXEL CROSSED PRODUCT

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**ABSTRACT.** We use the boundary-path space of a finitely-aligned  $k$ -graph  $\Lambda$  to construct a compactly-aligned product system  $X$ , and we show that the graph algebra  $C^*(\Lambda)$  is isomorphic to the Cuntz-Nica-Pimsner algebra  $\mathcal{NO}(X)$ . In this setting, we introduce the notion of a crossed product by a semigroup of partial endomorphisms and partially-defined transfer operators by defining it to be  $\mathcal{NO}(X)$ . We then compare this crossed product with other definitions in the literature.

## 1. INTRODUCTION

In [6], Exel proposed a new definition for a crossed product of a unital  $C^*$ -algebra  $A$  by an endomorphism  $\alpha$ . Exel's definition depends not only on  $\alpha$ , but also on the choice of *transfer operator*: a positive continuous linear map  $L : A \rightarrow A$  satisfying  $L(\alpha(a)b) = aL(b)$ . We call a triple  $(A, \alpha, L)$  an *Exel system*. In his motivating example, Exel finds a family of Exel systems whose crossed products model the Cuntz-Krieger algebras [4]. This marked the first time a crossed product by an endomorphism could successfully model Cuntz-Krieger algebras.

There are two obvious extensions of Exel's construction. Firstly, to a theory of crossed products of *non-unital*  $C^*$ -algebras capable of modeling the directed-graph generalisation of the Cuntz-Krieger algebras [20]. In [2], the authors successfully built such a theory, and they realised the graph algebras of locally-finite graphs with no sources as Exel crossed products [2, Theorem 5.1]. The crossed product in question was built from the infinite-path space  $E^\infty$  and the shift map  $\sigma$  on  $E^\infty$ . The hypotheses on  $E$  ensure that  $E^\infty$  is locally compact, and  $\sigma$  is everywhere defined, and this allows an Exel system to be defined. The other extension of Exel's work is to crossed products by *semigroups* of endomorphisms and transfer operators. In [17], Larsen has a crossed-product construction for dynamical systems  $(A, P, \alpha, L)$  in which  $P$  is an abelian semigroup,  $\alpha$  is an action of  $P$  by endomorphisms, and  $L$  is an action of  $P$  by transfer operators. Exel has also worked in this area with his theory of interaction groups [7, 8].

Motivated by these ideas, we construct a semigroup crossed product that can model the  $C^*$ -algebras of the higher-rank graphs, or  $k$ -graphs, of Kumjian and Pask [16]. The only restriction we place on the  $k$ -graphs  $\Lambda$  whose  $C^*$ -algebras we model is a necessary finitely-aligned hypothesis, so our result applies in the fullest possible generality. This does come at a price, however, as without a locally-finite hypothesis, or a restriction on sources, the space of infinite paths is not locally compact. To get a locally-compact

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space we need to consider the bigger *boundary-path space*  $\partial\Lambda$ , and on this space the shift maps  $\sigma_n$ ,  $n \in \mathbb{N}^k$ , will not in general be everywhere defined. This means we can not form Exel systems, or even a dynamical system in the sense of Larsen [17]. We overcome this problem by first ignoring the crossed-product construction, and focusing on building a *product system*.

A product system of Hilbert  $A$ -bimodules over a semigroup  $P$  is a semigroup  $X = \bigsqcup_{p \in P} X_p$  such that each  $X_p$  is a Hilbert  $A$ -bimodule, and  $x \otimes_A y \mapsto xy$  determines an isomorphism of  $X_p \otimes_A X_q$  onto  $X_{pq}$  for each  $p, q \in P$ . Fowler introduced such product systems in [11]. Fowler also defined a Cuntz-Pimsner covariance condition for representations of product systems, and introduced the universal  $C^*$ -algebra  $\mathcal{O}(X)$  for Cuntz-Pimsner covariant representations of  $X$ . This generalised Pimsner's  $C^*$ -algebra for a single Hilbert bimodule [19]. In [23], Sims and Yeend looked at the problem of associating a  $C^*$ -algebra to product systems which satisfies a gauge-invariant uniqueness theorem, and noted in particular that Fowler's  $\mathcal{O}(X)$  will not in general do the job. For a large class of semigroups, and a class of product systems called compactly aligned, Sims and Yeend introduced a covariance condition for representations — called Cuntz-Nica-Pimsner covariance — and a  $C^*$ -algebra  $\mathcal{NO}(X)$  universal for such representations. A gauge-invariant uniqueness theorem for  $\mathcal{NO}(X)$  is proved in [3].

We build from  $\partial\Lambda$  and the  $\sigma_n$  topological graphs in the sense of Katsura [14], and then we apply the construction from [14] to get Hilbert  $C_0(\partial\Lambda)$ -bimodules  $X_n$ . We glue the bimodules together to form the *boundary-path product system*  $X$  over  $\mathbb{N}^k$ . This gives a new class of product systems for which the Cuntz-Nica-Pimsner algebra  $\mathcal{NO}(X)$  is tractable. The main result in this paper says that for  $\Lambda$  a finitely-aligned  $k$ -graph, the graph algebra  $C^*(\Lambda)$  is isomorphic to  $\mathcal{NO}(X)$ . A result, we feel, that gives extra credence to Sims and Yeend's construction, at least in the case for the semigroup  $\mathbb{N}^k$ . We then construct for each  $n \in \mathbb{N}^k$  a partial endomorphism  $\alpha_n$  on  $C_0(\partial\Lambda)$  and a partially-defined transfer operator  $L_n$ , and we *define* the crossed product  $C_0(\partial\Lambda) \rtimes_{\alpha, L} \mathbb{N}^k$  to be  $\mathcal{NO}(X)$ . This gives us our desired result:  $C_0(\partial\Lambda) \rtimes_{\alpha, L} \mathbb{N}^k \cong C^*(\Lambda)$ .

We begin with some preliminaries in Section 2. We state some necessary definitions from the  $k$ -graph literature, and we state the definition of the Cuntz-Krieger algebra of a  $k$ -graph. We then state the definitions from [23] needed to make sense of the notion of Cuntz-Nica-Pimsner covariance, and the Cuntz-Nica-Pimsner algebra of a compactly-aligned product system. In Section 3 we construct from a finitely-aligned  $k$ -graph  $\Lambda$  the boundary-path product system  $X$ . The proof that  $X$  is compactly aligned requires substantial detail, so we leave this result for the appendix. In Section 4 we prove the existence of a canonical isomorphism  $C^*(\Lambda) \rightarrow \mathcal{NO}(X)$ . In Section 5 we introduce the crossed product  $C_0(\partial\Lambda) \rtimes_{\alpha, L} \mathbb{N}^k$ , and we discuss the relationship between this crossed product and the crossed product in [2]; Exel and Royer's crossed product by a partial endomorphism [10]; and Larsen's semigroup crossed product [17].

## 2. PRELIMINARIES

**2.1.  $k$ -graphs and their Cuntz-Krieger algebras.** A higher-rank graph, or  $k$ -graph, is a pair  $(\Lambda, d)$  consisting of a countable category  $\Lambda$  and a degree functor  $d : \Lambda \rightarrow \mathbb{N}^k$  satisfying the unique factorisation property: for all  $\lambda \in \Lambda$  and  $m, n \in \mathbb{N}^k$  with  $d(\lambda) =$

$m + n$ , there are unique elements  $\mu, \nu \in \Lambda$  such that  $d(\mu) = m$ ,  $d(\nu) = n$  and  $\lambda = \mu\nu$ . We now recall some definitions from the  $k$ -graph literature; for more details see [5].

For  $\lambda, \mu \in \Lambda$  we denote

$$\Lambda^{\min}(\lambda, \mu) := \{(\alpha, \beta) \in \Lambda \times \Lambda : \lambda\alpha = \mu\beta \text{ and } d(\lambda\alpha) = d(\lambda) \vee d(\mu)\}.$$

A  $k$ -graph  $\Lambda$  is *finitely aligned* if  $\Lambda^{\min}(\lambda, \mu)$  is at most finite for all  $\lambda, \mu \in \Lambda$ . For each  $v \in \Lambda^0$  we denote by  $v\Lambda := \{\lambda \in \Lambda : r(\lambda) = v\}$ . A subset  $E \subseteq v\Lambda$  is *exhaustive* if for every  $\mu \in v\Lambda$  there exists a  $\lambda \in E$  such that  $\Lambda^{\min}(\lambda, \mu) \neq \emptyset$ . We denote the set of all *finite* exhaustive subsets of  $\Lambda$  by  $\mathcal{FE}(\Lambda)$ . We denote by  $v\mathcal{FE}(\Lambda)$  the set  $\{E \in \mathcal{FE}(\Lambda) : E \subseteq v\Lambda\}$ .

For each  $m \in (\mathbb{N} \cup \{\infty\})^k$  we get a  $k$ -graph  $\Omega_{k,m}$  through the following construction. The set  $\Omega_{k,m}^0 := \{p \in \mathbb{N}^k : p \leq m\}$ , and

$$\Omega_k^* := \{(p, q) \in \Omega_{k,m}^0 \times \Omega_{k,m}^0 : p \leq q\}.$$

The range map is given by  $r(p, q) = p$ ; the source map by  $s(p, q) = q$ ; and the degree functor by  $d(p, q) = q - p$ . Composition is given by  $(p, q)(q, r) = (p, r)$ .

For  $k$ -graph  $\Lambda$  we define a *graph morphism*  $x$  to be a degree-preserving functor from  $\Omega_{k,m}$  to  $\Lambda$ . The range and degree maps are extended to all graph morphisms  $x : \Omega_{k,m} \rightarrow \Lambda$  by setting  $r(x) := x(0)$  and  $d(x) := m$ . We define the *boundary-path space*  $\partial\Lambda$  to be the set of all graph morphisms  $x$  such that for all  $n \in \mathbb{N}^k$  with  $n \leq d(x)$ , and for all  $E \in x(n)\mathcal{FE}(\Lambda)$ , there exists  $\lambda \in E$  such that  $x(n, n + d(\lambda)) = \lambda$ . We know from [5, Lemmas 5.13] that if  $\lambda \in \Lambda x(0)$ , then  $\lambda x \in \partial\Lambda$ . We know from [5, Lemma 5.15] that for each  $v \in \Lambda^0$  there exists  $x \in v\partial\Lambda = \{x \in \partial\Lambda : r(x) = v\}$ .

We recall from [23] the following definition.

**Definition 2.1.** Let  $\Lambda$  be a finitely-aligned  $k$ -graph. A *Cuntz-Krieger family* in a  $C^*$ -algebra  $B$  is a collection  $\{t_\lambda : \lambda \in \Lambda\}$  of partial isometries in  $B$  satisfying

- (CK1)  $\{t_v : v \in \Lambda^0\}$  consists of mutually orthogonal projections;
- (CK2)  $t_\lambda t_\mu = t_{\lambda\mu}$  whenever  $s(\lambda) = r(\mu)$ ;
- (CK3)  $t_\lambda^* t_\mu = \sum_{(\alpha, \beta) \in \Lambda^{\min}(\lambda, \mu)} t_\alpha t_\beta^*$ ; and
- (CK4)  $\prod_{\lambda \in E} (t_v - t_\lambda t_\lambda^*) = 0$  for every  $v \in \Lambda^0$  and  $E \in v\mathcal{FE}(\Lambda)$ .

The *Cuntz-Krieger algebra*, or *graph algebra*,  $C^*(\Lambda)$  is the universal  $C^*$ -algebra generated by a Cuntz-Krieger  $\Lambda$ -family.

**2.2. Product systems and their Cuntz-Nica-Pimsner algebras.** In this subsection we state some key definitions from [23, Sections 2 and 3]; see [23] for more details.

Suppose  $A$  is a  $C^*$ -algebra, and  $(G, P)$  is a quasi-lattice ordered group in the sense that:  $G$  is a discrete group and  $P$  is a subsemigroup of  $G$ ;  $P \cap P^{-1} = \{e\}$ ; and with respect to the partial order  $p \leq q \iff p^{-1}q \in P$ , any two elements  $p, q \in G$  which have a common upper bound in  $P$  have a least upper bound  $p \vee q \in P$ . Suppose  $X := \bigcup_{p \in P} X_p$  is a product system of Hilbert  $A$ -bimodules. For each  $p \in P$  and each  $x, y \in X_p$  the operator  $\Theta_{x,y} : X_p \rightarrow X_p$  defined by  $\Theta_{x,y}(z) := x \cdot \langle y, z \rangle_A$  is adjointable with  $\Theta_{x,y}^* = \Theta_{y,x}$ . The span  $\mathcal{K}(X_p) := \overline{\text{span}}\{\Theta_{x,y} : x, y \in X_p\}$  is a closed two-sided ideal in  $\mathcal{L}(X_p)$  called the *algebra of compact operators on  $X_p$* . For  $p, q \in P$  with  $e < p \leq q$  there is a homomorphism  $\iota_p^q : \mathcal{L}(X_p) \rightarrow \mathcal{L}(X_q)$  characterised by

$$(1) \quad \iota_p^q(S)(xy) = (Sx)y \quad \text{for all } x \in X_p, y \in X_{p^{-1}q}.$$

For  $p \not\leq q$  we define  $\iota_p^q(S) = 0_{\mathcal{L}(X_q)}$  for all  $S \in \mathcal{L}(X_p)$ . The product system  $X$  is called *compactly aligned* if for all  $p, q \in P$  such that  $p \vee q < \infty$ , and for all  $S \in \mathcal{K}(X_p)$  and  $T \in \mathcal{K}(X_q)$ , we have  $\iota_p^{p \vee q}(S) \iota_q^{p \vee q}(T) \in \mathcal{K}(X_{p \vee q})$ .

A *representation*  $\psi$  of  $X$  in a  $C^*$ -algebra  $B$  is a map  $X \rightarrow B$  such that

- (1) each  $\psi|_{X_p} := \psi_p : X_p \rightarrow B$  is linear, and  $\psi_e : A \rightarrow B$  is a homomorphism;
- (2)  $\psi_p(x) \psi_y(q) = \psi_{pq}(xy)$  for all  $p, q \in P$ ,  $x \in X_p$ , and  $y \in X_q$ ; and
- (3)  $\psi_e(\langle x, y \rangle_A^p) = \psi_p(x)^* \psi_p(y)$  for all  $p \in P$ , and  $x, y \in X_p$ .

It follows from Pimsner's results [19] that for each  $p \in P$  there is a homomorphism  $\psi^{(p)} : \mathcal{K}(X_p) \rightarrow B$  satisfying  $\psi^{(p)}(\Theta_{x,y}) = \psi_p(x) \psi_p(y)^*$  for all  $x, y \in X_p$ . A representation  $\psi$  of  $X$  is *Nica-covariant* if for all  $p, q \in P$  and all  $S \in \mathcal{K}(X_p), T \in \mathcal{K}(X_q)$  we have

$$\psi^{(p)}(S) \psi^{(q)}(T) = \begin{cases} \psi^{(p \vee q)}(\iota_p^{p \vee q}(S) \iota_q^{p \vee q}(T)) & \text{if } p \vee q < \infty, \\ 0 & \text{otherwise.} \end{cases}$$

We denote by  $\phi_p$  the homomorphism  $A \rightarrow \mathcal{L}(X_p)$  implementing the left action of  $A$  on  $X_p$ . We define  $I_e = A$ , and for each  $q \in P \setminus \{e\}$  we write  $I_q := \cap_{e < p \leq q} \ker \phi_p$ . We then denote by  $\tilde{X}_q$  the Hilbert  $A$ -bimodule

$$\tilde{X}_q := \bigoplus_{p \leq q} X_p \cdot I_{p^{-1}q},$$

and we denote by  $\tilde{\phi}_q$  the homomorphism implementing the left action of  $A$  on  $\tilde{X}_q$ . The product system  $X$  is said to be  *$\tilde{\phi}$ -injective* if every  $\tilde{\phi}_q$  is injective.

For  $p, q \in P$  with  $p \neq e$  there is a homomorphism  $\tilde{\iota}_p^q : \mathcal{L}(X_p) \rightarrow \mathcal{L}(\tilde{X}_q)$  determined by  $S \mapsto \bigoplus_{r \leq q} \iota_p^r(S)$  for all  $S \in \mathcal{L}(X_p)$ ; and characterised by

$$(2) \quad (\tilde{\iota}_p^q(S)x)(r) = \iota_p^r(S)x(r) \quad \text{for all } x \in \tilde{X}_q.$$

A representation  $\psi$  of a  $\tilde{\phi}$ -injective product system  $X$  in a  $C^*$ -algebra  $B$  is *Cuntz-Pimsner covariant* if  $\sum_{p \in F} \psi^{(p)}(T_p) = 0_B$  whenever  $F \subset P$  is finite,  $T_p \in \mathcal{K}(X_p)$  for each  $p \in F$ , and  $\sum_{p \in F} \tilde{\iota}_p^s(T_p) = 0$  for large  $s$  (see [23, Definition 3.8] for the meaning of “for large  $s$ ”). A representation  $\psi$  of a  $\tilde{\phi}$ -injective product system  $X$  is *Cuntz-Nica-Pimsner covariant* if it is both Nica covariant and Cuntz-Pimsner covariant. It is proved in [23, Proposition 3.12] that there exists a  $C^*$ -algebra  $\mathcal{NO}(X)$ , called the *Cuntz-Nica-Pimsner algebra of  $X$* , which is universal for Cuntz-Nica-Pimsner covariant representations of  $X$ . We denote the universal Cuntz-Nica-Pimsner representation by  $j_X : X \rightarrow \mathcal{NO}(X)$ .

### 3. THE BOUNDARY-PATH PRODUCT SYSTEM OF A $k$ -GRAPH

Let  $\Lambda$  be a finitely-aligned  $k$ -graph. For  $\lambda \in \Lambda$  we denote the set  $D_\lambda := \{x \in \partial\Lambda : x(0, d(\lambda)) = \lambda\}$ . For  $n \in \mathbb{N}^k$  we denote

$$\mathcal{A}^n := \{(\lambda, F) : \lambda \in \Lambda \text{ with } d(\lambda) \geq n, F \subseteq s(\lambda)\Lambda \text{ a finite set}\},$$

and  $\mathcal{A} := \bigcup_{n \in \mathbb{N}^k} \mathcal{A}^n$ . For  $(\lambda, F) \in \mathcal{A}$  we denote  $D_{\lambda F} := \bigcup_{\nu \in F} D_{\lambda\nu}$ . It is proved in [5, Section 5] that the family of sets  $\{D_\lambda \setminus D_{\lambda F} : (\lambda, F) \in \mathcal{A}\}$  is a basis of compact and open sets for a Hausdorff topology on  $\partial\Lambda$ , and  $\partial\Lambda$  is a locally compact Hausdorff space. For each  $n \in \mathbb{N}^k$  we denote  $\partial\Lambda^{\geq n} := \{x \in \partial\Lambda : d(x) \geq n\}$  and  $\partial\Lambda^{\not\geq n} := \partial\Lambda \setminus \partial\Lambda^{\geq n}$ . We now use the subsets  $\partial\Lambda^{\geq n}$  to construct topological graphs in the sense of Katsura [14, 15].

**Proposition 3.1.** *Let  $n \in \mathbb{N}^k$  with  $\partial\Lambda^{\geq n} \neq \emptyset$ . Denote by  $\sigma_n$  the shift on  $\partial\Lambda^{\geq n}$  given by  $\sigma_n(x)(m) = x(m+n)$ , and  $\iota : \partial\Lambda^{\geq n} \rightarrow \partial\Lambda$  the inclusion mapping. Then  $E_n := (\partial\Lambda, \partial\Lambda^{\geq n}, \sigma_n, \iota)$  is a topological graph.*

*Proof.* We use the definition of convergence given in [5, Remark 5.6]. Let  $(x_i)$  be a sequence in  $\partial\Lambda^{\geq n}$  converging to  $x$ . If  $x \in \partial\Lambda^{\geq n}$ , then there exists  $j \in \{1, \dots, k\}$  and a subsequence  $(x_{i_k})$  of  $(x_i)$  such that  $d(x_{i_k})_j < d(x)_j$  for all  $x_{i_k}$ . This contradicts that  $(x_{i_k})$  converges to  $x$ , so we must have  $x \in \partial\Lambda^{\geq n}$ , and hence  $\partial\Lambda^{\geq n}$  is closed in  $\partial\Lambda$ . Hence  $\partial\Lambda^{\geq n}$  is locally compact.

Let  $x \in \partial\Lambda^{\geq n}$ . Then  $D_{x(0,n)}$  is an open neighbourhood of  $x$ , with  $D_{x(0,n)} \subseteq \partial\Lambda^{\geq n}$ . The map  $\sigma_n|_{D_{x(0,n)}} : D_{x(0,n)} \rightarrow D_{s(x(0,n))}$  is a bijection, and  $\sigma_n(D_{x(0,n)}) = D_{s(x(0,n))}$  is open in  $\partial\Lambda$ . Now suppose  $\lambda \in s(x(0,n))\Lambda$  and  $F \subseteq s(\lambda)\Lambda$ . Then

$$\sigma_n|_{D_{x(0,n)}}(D_{x(0,n)\lambda} \setminus D_{x(0,n)\lambda F}) = D_\lambda \setminus D_{\lambda F}$$

is open in  $D_{s(x(0,n))}$ , and

$$\left(\sigma_n|_{D_{x(0,n)}}\right)^{-1}(D_\lambda \setminus D_{\lambda F}) = D_{x(0,n)\lambda} \setminus D_{x(0,n)\lambda F}$$

is open in  $D_{x(0,n)}$ . Hence,  $\sigma_n|_{D_{x(0,n)}}$  is continuous and open, and so it is a homeomorphism of  $D_{x(0,n)}$  onto  $D_{s(x(0,n))}$ . Hence  $\sigma_n$  is a local homeomorphism. We know that  $\iota$  is continuous, so the result follows.  $\square$

We now use Katsura's construction [14] to form Hilbert bimodules. For  $f, g \in C_c(\partial\Lambda^{\geq n})$  and  $a \in C_0(\partial\Lambda)$ , we define

$$(3) \quad (f \cdot a)(x) := f(x)a(\sigma_n(x))$$

and

$$(4) \quad \langle f, g \rangle_n(x) := \sum_{\sigma_n(y)=x} \overline{f(y)}g(y).$$

We complete  $C_c(\partial\Lambda^{\geq n})$  under the norm  $\|\cdot\|_n$  given by  $\langle \cdot, \cdot \rangle_n$  to get a Hilbert  $C_0(\partial\Lambda)$ -module  $X_n = X(E_n)$ . The formula

$$(5) \quad (a \cdot f)(x) := a(\iota(x))f(x) = a(x)f(x),$$

defines an action of  $C_0(\partial\Lambda)$  by adjointable operators on  $X_n$ , which we denote by  $\phi_n : C_0(\partial\Lambda) \rightarrow \mathcal{L}(X_n)$ , and then  $X_n$  becomes a Hilbert  $C_0(\partial\Lambda)$ -bimodule. For  $n \in \mathbb{N}^k$  with  $\partial\Lambda^{\geq n} = \emptyset$  we set  $X_n := \{0\}$ . Note that  $X_0 = C_0(\partial\Lambda)$ .

**Proposition 3.2.** *Let  $m, n \in \mathbb{N}^k$  with  $\partial\Lambda^{\geq m}, \partial\Lambda^{\geq n} \neq \emptyset$ . Then the map*

$$\pi : C_c(\partial\Lambda^{\geq m}) \times C_c(\partial\Lambda^{\geq n}) \rightarrow C_c(\partial\Lambda^{\geq m+n})$$

*given by  $\pi(f, g)(x) = f(x)g(\sigma_m(x))$  is a surjective map which induces an isomorphism  $\pi_{m,n} : X_m \otimes X_n \rightarrow X_{m+n}$  satisfying  $\pi_{m,n}(f \otimes g) = f(g \circ \sigma_m)$ .*

To prove this proposition we need some results. To state these results we use the following notation.

**Notation 3.3.** (a) Recall from [5, Definition 3.10] that given  $\lambda \in \Lambda$  and  $E \subseteq r(\lambda)\Lambda$  we denote

$$\text{Ext}(\lambda; E) := \bigcup_{\nu \in E} \{\alpha \in \Lambda : (\alpha, \beta) \in \Lambda^{\min}(\lambda, \nu) \text{ for some } \beta \in \Lambda\}.$$

For  $\lambda, \mu \in \Lambda$  we denote  $F(\lambda, \mu) := \text{Ext}(\lambda; \{\mu\})$ . Since  $\Lambda$  is finitely aligned,  $F(\lambda, \mu)$  is a finite subset of  $s(\lambda)\Lambda$ , and so  $(\lambda, F(\lambda, \mu)) \in \mathcal{A}$ . We have

$$(6) \quad D_{\lambda F(\lambda, \mu)} = D_{\mu F(\mu, \lambda)}.$$

(b) Let  $\lambda, \mu \in \Lambda$  and  $x \in \partial\Lambda$  with  $d(x) \geq d(\lambda) \vee d(\mu)$ . Then we denote by  $x_\lambda^\mu$  the path

$$x_\lambda^\mu := x(d(\lambda), d(\lambda) \vee d(\mu)).$$

**Lemma 3.4.** *Let  $(\lambda, F), (\mu, G) \in \mathcal{A}$ . Then we have*

$$(7) \quad (D_\lambda \setminus D_{\lambda F}) \cap (D_\mu \setminus D_{\mu G}) = \bigsqcup_{(\alpha, \beta) \in \Lambda^{\min}(\lambda, \mu)} D_{\lambda\alpha} \setminus D_{\lambda\alpha F_\alpha},$$

where

$$F_\alpha := \left( \bigcup_{\nu \in F} F(\lambda\alpha, \lambda\nu) \right) \cup \left( \bigcup_{\xi \in G} F(\lambda\alpha, \mu\xi) \right).$$

*Proof.* The factorisation property ensures that the union in (7) is disjoint.

Let  $x \in (D_\lambda \setminus D_{\lambda F}) \cap (D_\mu \setminus D_{\mu G})$ . Then  $d(x) \geq d(\lambda) \vee d(\mu)$ ; the pair  $(x_\lambda^\mu, x_\mu^\lambda) \in \Lambda^{\min}(\lambda, \mu)$ ; and  $x \in D_{\lambda x_\lambda^\mu}$ . Using (6) we have

$$x \in D_{\lambda x_\lambda^\mu F(\lambda x_\lambda^\mu, \lambda\nu)} = D_{\lambda\nu F(\lambda\nu, \lambda x_\lambda^\mu)} \implies x \in D_{\lambda F},$$

which contradicts  $x \in D_\lambda \setminus D_{\lambda F}$ , so we must have  $x \notin D_{\lambda x_\lambda^\mu F(\lambda x_\lambda^\mu, \lambda\nu)}$  for all  $\nu \in F$ . By symmetry, we also have  $x \notin D_{\lambda x_\lambda^\mu F(\lambda x_\lambda^\mu, \mu\xi)}$  for all  $\xi \in G$ . Hence  $x \in D_{\lambda x_\lambda^\mu} \setminus D_{\lambda x_\lambda^\mu F_{x_\lambda^\mu}}$ .

Now suppose  $y$  is an element of the right-hand-side of (7). So there exists  $(\alpha, \beta) \in \Lambda^{\min}(\lambda, \mu)$  with  $y \in D_{\lambda\alpha} \setminus D_{\lambda\alpha F_\alpha}$ . We have  $y \in D_{\lambda\alpha} \subseteq D_\lambda$ . Assume  $y \in D_{\lambda\nu}$  for some  $\nu \in F$ . Then  $d(y) \geq d(\lambda\alpha) \vee d(\lambda\nu)$ ; the pair  $(y_{\lambda\alpha}^{\lambda\nu}, y_{\lambda\nu}^{\lambda\alpha}) \in \Lambda^{\min}(\lambda\alpha, \lambda\nu)$ ; and  $y \in D_{\lambda\alpha F(\lambda\alpha, \lambda\nu)} \subseteq D_{\lambda\alpha F_\alpha}$ . This is a contradiction, and so  $y \notin D_{\lambda\nu}$  for all  $\nu \in F$ . Hence  $y \in D_\lambda \setminus D_{\lambda F}$ . By symmetry, we also have  $y \in D_\mu \setminus D_{\mu G}$ . Hence  $y \in (D_\lambda \setminus D_{\lambda F}) \cap (D_\mu \setminus D_{\mu G})$ .  $\square$

**Lemma 3.5.** *Let  $n \in \mathbb{N}^k$  and  $(\lambda, F) \in \mathcal{A}$  with  $D_\lambda \setminus D_{\lambda F} \subseteq \partial\Lambda^{\geq n}$ . Then we have*

$$(8) \quad D_\lambda \setminus D_{\lambda F} = \bigsqcup_{\mu \in s(\lambda)\Lambda^{d(\lambda) \vee n - d(\lambda)}} D_{\lambda\mu} \setminus D_{\lambda\mu \text{Ext}(\mu; F)},$$

where  $(\lambda\mu, \text{Ext}(\mu; F)) \in \mathcal{A}^n$  for each  $\mu \in s(\lambda)\Lambda^{d(\lambda) \vee n - d(\lambda)}$ .

*Proof.* The factorisation property ensures that the union in (8) is disjoint.

Suppose  $x \in D_\lambda \setminus D_{\lambda F}$ , and consider the path  $\mu := x(d(\lambda), d(\lambda) \vee n) \in s(\lambda)\Lambda^{d(\lambda) \vee n - d(\lambda)}$ . Then  $x \in D_{\lambda\mu}$ . If  $x \in D_{\lambda\mu \text{Ext}(\mu; F)}$ , then there exists  $\nu \in F$  and  $(\alpha, \beta) \in \Lambda^{\min}(\mu, \nu)$  with  $x \in D_{\lambda\mu\alpha} = D_{\lambda\mu\beta} \subseteq D_{\lambda\nu} \subseteq D_{\lambda F}$ . But this is a contradiction, and so we must have  $x \in D_{\lambda\mu} \setminus D_{\lambda\mu \text{Ext}(\mu; F)}$ .

Now, let  $y \in D_{\lambda\mu} \setminus D_{\lambda\mu \text{Ext}(\mu; F)}$  for some  $\mu \in s(\lambda)\Lambda^{d(\lambda)\vee n - d(\lambda)}$ . Then  $y \in D_\lambda$ . If  $y \in D_{\lambda\nu}$  for some  $\nu \in F$ , then the pair

$$\left( y_{\lambda\mu}^{\lambda\nu}(d(\lambda), d(\lambda) + d(\mu) \vee d(\nu)), y_{\lambda\nu}^{\lambda\mu}(d(\lambda), d(\lambda) + d(\mu) \vee d(\nu)) \right) \in \Lambda^{\min}(\mu, \nu),$$

and  $y \in D_{\lambda\mu \text{Ext}(\mu; F)}$ . This is a contradiction, and so we must have  $y \in D_\lambda \setminus D_{\lambda F}$ .

Finally, for each  $\mu \in s(\lambda)\Lambda^{d(\lambda)\vee n - d(\lambda)}$  the set  $\text{Ext}(\mu; F)$  is finite because  $F$  is finite and  $\Lambda$  is finitely aligned. We obviously have  $d(\lambda\mu) \geq n$ , and so  $(\lambda\mu, \text{Ext}(\mu; F)) \in \mathcal{A}^n$ .  $\square$

*Proof of Proposition 3.2.* To show that  $\pi$  is surjective we let  $f \in C_c(\partial\Lambda^{\geq m+n})$ . For each  $x \in \text{supp } f$  there exists  $(\lambda, F) \in \mathcal{A}$  with  $x \in D_\lambda \setminus D_{\lambda F} \subseteq \partial\Lambda^{\geq m+n}$ . So there exists a subset  $\mathcal{J} \subseteq \mathcal{A}$  such that  $\text{supp } f \subseteq \bigcup_{(\lambda, F) \in \mathcal{J}} D_\lambda \setminus D_{\lambda F}$ , where  $D_\lambda \setminus D_{\lambda F} \subseteq \partial\Lambda^{\geq m+n}$  for each  $(\lambda, F) \in \mathcal{J}$ . It follows from Lemma 3.5 that each  $D_\lambda \setminus D_{\lambda F}$  is a disjoint union of sets of the form  $D_\mu \setminus D_{\mu G}$  with  $(\mu, G) \in \mathcal{A}^{m+n}$ , and so there exists a subset  $\mathcal{J}' \subseteq \mathcal{A}^{m+n}$  such that  $\text{supp } f \subseteq \bigcup_{(\mu, G) \in \mathcal{J}'} D_\mu \setminus D_{\mu G}$ , where  $D_\mu \setminus D_{\mu G} \subseteq \partial\Lambda^{\geq m+n}$  for each  $(\mu, G) \in \mathcal{J}'$ . Since  $\text{supp } f$  is compact, there exists a finite number of pairs  $(\mu_j, G_j) \in \mathcal{J}'$  with  $\text{supp } f \subseteq \bigcup_{j=1}^h D_{\mu_j} \setminus D_{\mu_j G_j}$ . Now for each  $1 \leq j \leq h$  let  $\lambda_j := \mu_j(m, d(\mu_j))$ , and consider the function  $\mathcal{X}_{\cup_j D_{\lambda_j} \setminus D_{\lambda_j G_j}} \in C_c(\partial\Lambda^{\geq n})$ . Consider also  $\tilde{f} \in C_c(\partial\Lambda^{\geq m})$  which is equal to  $f$  on  $\partial\Lambda^{\geq m+n}$  and zero on the complement. Then we have  $\pi(\tilde{f}, \mathcal{X}_{\cup_j D_{\lambda_j} \setminus D_{\lambda_j G_j}}) = f$ , and so  $\pi$  maps onto  $C_c(\partial\Lambda^{\geq m+n})$ .

Routine calculations show that  $\pi$  is bilinear, and so it induces a surjective linear map  $\pi_{m,n} : C_c(\partial\Lambda^{\geq m}) \odot C_c(\partial\Lambda^{\geq n}) \rightarrow C_c(\partial\Lambda^{\geq m+n})$  satisfying  $\pi_{m,n}(f \otimes g)(x) = f(x)g(\sigma_m(x))$ . It follows immediately from the formulas (3) and (5) that  $\pi$  preserves the left and right actions.

To see that  $\pi_{m,n}$  preserves the inner product, we let  $f, h \in C_c(\partial\Lambda^{\geq m})$  and  $g, l \in C_c(\partial\Lambda^{\geq n})$ . Then for  $x \in \partial\Lambda^{\geq m+n}$  we have

$$\begin{aligned} \langle f \otimes g, h \otimes l \rangle(x) &= \langle \langle h, f \rangle_m \cdot g, l \rangle_n(x) = \sum_{\sigma_n(y)=x} \overline{\langle h, f \rangle_m(y)g(y)}l(y) \\ &= \sum_{\sigma_n(y)=x} \left( \sum_{\sigma_m(z)=y} h(z)\overline{f(z)} \right) \overline{g(y)}l(y) \\ (9) \quad &= \sum_{\sigma_{m+n}(z)=x} \overline{g(\sigma_m(z))l(\sigma_m(z))} \overline{f(z)}h(z). \end{aligned}$$

Now

$$\begin{aligned} \langle \pi_{m,n}(f \otimes g), \pi_{m,n}(h \otimes l) \rangle_{m+n}(x) &= \sum_{\sigma_{m+n}(z)=x} \overline{\pi_{m,n}(f \otimes g)(z)} \pi_{m,n}(h \otimes l)(z) \\ &= \sum_{\sigma_{m+n}(z)=x} \overline{f(z)g(\sigma_m(z))} h(z)l(\sigma_m(z)) \\ &= \langle f \otimes g, h \otimes l \rangle(x), \end{aligned}$$

and so  $\pi_{m,n}$  preserves the inner product. Hence it extends to an isomorphism  $\pi_{m,n} : X_m \otimes X_n \rightarrow X_{m+n}$ .  $\square$

*Remark 3.6.* Suppose  $\partial\Lambda^{\geq m}, \partial\Lambda^{\geq n} \neq \emptyset$  and  $\partial\Lambda^{\geq m+n} = \emptyset$ . We claim that  $X_m \otimes X_n = \{0\}$ . To see this is true, we assume the contrary. Then there exists  $f \in C_c(\partial\Lambda^{\geq m})$  and  $g \in C_c(\partial\Lambda^{\geq n})$  with  $f \otimes g \neq 0$ . It follows from Equation (9) that

$$\langle f \otimes g, f \otimes g \rangle(x) = \sum_{\sigma_{m+n}(z)=x} |f(z)|^2 |g(\sigma_m(z))|^2,$$

and this implies

$$\begin{aligned} \langle f \otimes g, f \otimes g \rangle \neq 0 &\iff \sigma_{m+n}^{-1}(x) \neq \emptyset \text{ for some } x \in \partial\Lambda \\ &\iff \partial\Lambda^{\geq m+n} \neq \emptyset. \end{aligned}$$

This is a contradiction, and so we must have  $X_m \otimes X_n = \{0\} = X_{m+n}$ .

Now suppose that  $\partial\Lambda^{\geq m} \neq \emptyset$  and  $\partial\Lambda^{\geq n} = \emptyset$ . Then we have  $\partial\Lambda^{\geq m+n} = \emptyset$ , and so  $X_n = \{0\} = X_{m+n}$ . Then  $X_m \otimes X_n = X_m \otimes \{0\} = \{0\} = X_{m+n}$ . So we can extend Proposition 3.2 to include all  $m, n \in \mathbb{N}^k$ , and we think of  $\pi_{m,n}$  for  $m, n$  as in this remark as the trivial map from  $\{0\}$  to itself.

**Proposition 3.7.** *The family  $X := \sqcup_{n \in \mathbb{N}^k} X_n$  of Hilbert bimodules over  $C_0(\partial\Lambda)$  with multiplication given by*

$$(10) \quad xy := \pi_{m,n}(x \otimes y)$$

*is a product system over  $\mathbb{N}^k$ .*

*Proof.* We just need to check that  $ax = a \cdot x$  and  $xa = x \cdot a$  for all  $x \in X_n$ ,  $n \in \mathbb{N}^k$  and  $a \in C_0(\partial\Lambda)$ , but this follows from (3), (5) and the definition of multiplication (10).  $\square$

We prove that  $X$  is compactly aligned in the Appendix.

Given the definition (10) of multiplication within  $X$ , we now have the following restatement of Proposition 3.2. This corollary plays an important role in subsequent sections.

**Corollary 3.8.** *Let  $n \in \mathbb{N}^k$  and  $h \in C_c(\partial\Lambda^{\geq n})$ . Then for every  $l, m \in \mathbb{N}^k$  with  $n = l + m$ , there exists  $f \in C_c(\partial\Lambda^{\geq l})$  and  $g \in C_c(\partial\Lambda^{\geq m})$  with  $h = fg$ .*

#### 4. THE CUNTZ-NICA-PIMSNER ALGEBRA $\mathcal{NO}(X)$

Recall that we denote by  $j_X : X \rightarrow \mathcal{NO}(X)$  the universal Cuntz-Nica-Pimsner representation of  $X$ . For each  $m \in \mathbb{N}^k$  we denote by  $j_{X,m}$  the restriction of  $j_X$  to  $X_m$ . For each  $\lambda \in \Lambda$  the set  $D_\lambda$  is closed and open, and so the characteristic function  $\chi_{D_\lambda} \in C_c(\partial\Lambda^{\geq d(\lambda)}) \subset X_{d(\lambda)}$ .

**Theorem 4.1.** *Let  $\Lambda$  be a finitely aligned  $k$ -graph and  $X$  be the associated product system of Hilbert bimodules given in Proposition 3.7. Denote by  $\{s_\lambda : \lambda \in \Lambda\}$  the universal Cuntz-Krieger  $\Lambda$ -family in  $C^*(\Lambda)$ . There exists an isomorphism  $\pi : C^*(\Lambda) \rightarrow \mathcal{NO}(X)$  such that  $\pi(s_\lambda) = j_{X,d(\lambda)}(\chi_{D_\lambda})$ .*

To prove this result we first show that  $S := \{S_\lambda := j_{X,d(\lambda)}(\chi_{D_\lambda}) : \lambda \in \Lambda\}$  is a set of partial isometries in  $\mathcal{NO}(X)$  satisfying (CK1) and (CK2). We use the Nica covariance of  $j_X$  to show that  $S$  satisfies (CK3), and the Cuntz-Pimsner covariance of  $j_X$  to show that  $S$  satisfies (CK4). The universal property of  $C^*(\Lambda)$  then gives us a map  $\pi : C^*(\Lambda) \rightarrow \mathcal{NO}(X)$  with  $\pi(s_\lambda) = j_X(\chi_{D_\lambda})$  for each  $\lambda \in \Lambda$ . We show that  $S$



generates  $\mathcal{NO}(X)$ , and we use the gauge-invariant uniqueness theorem for  $C^*(\Lambda)$  [22, Theorem 4.2] to prove that  $\pi$  is injective.

**Proposition 4.2.** *The set  $S = \{S_\lambda : \lambda \in \Lambda\}$  is a family of partial isometries satisfying (CK1) and (CK2).*

*Proof.* Let  $\lambda \in \Lambda$ . Using (3) and (4) we get  $\mathcal{X}_{D_\lambda} \cdot \langle \mathcal{X}_{D_\lambda}, \mathcal{X}_{D_\lambda} \rangle_{d(\lambda)} = \mathcal{X}_{D_\lambda}$ , and it follows that  $S_\lambda S_\lambda^* S_\lambda = S_\lambda$ . It follows from the properties of characteristic functions that  $\{S_v = j_{X,0}(\mathcal{X}_{D_v})\}$  is a set of mutually orthogonal projections, thus (CK1) is satisfied. Relation (CK2) follows from the calculation

$$\begin{aligned} \mathcal{X}_{D_\lambda} \mathcal{X}_{D_\mu}(x) &= \pi_{d(\lambda), d(\mu)}(\mathcal{X}_{D_\lambda} \otimes \mathcal{X}_{D_\mu})(x) \\ &= \mathcal{X}_{D_\lambda}(x) \mathcal{X}_{D_\mu}(\sigma_{d(\lambda)}(x)) \\ &= \begin{cases} 1 & \text{if } x(0, d(\lambda)) = \lambda \text{ and } x(d(\lambda), d(\lambda) + d(\mu)) = \mu, \\ 0 & \text{otherwise} \end{cases} \\ &= \mathcal{X}_{D_{\lambda\mu}}(x). \end{aligned} \quad \square$$

**Proposition 4.3.** *The set  $S$  satisfies relation (CK3):*

$$S_\lambda^* S_\mu = \sum_{(\alpha, \beta) \in \Lambda^{\min}(\lambda, \mu)} S_\alpha S_\beta^* \quad \text{for all } \lambda, \mu \in \Lambda.$$

To prove this proposition we need the next result. For  $\lambda, \mu \in \Lambda$  with  $d(\lambda) = d(\mu)$  we denote by  $\Theta_{\lambda, \mu}$  the rank-one operator  $\Theta_{\mathcal{X}_{D_\lambda}, \mathcal{X}_{D_\mu}} \in \mathcal{K}(X_{d(\lambda)})$ .

**Lemma 4.4.** *Let  $\lambda, \mu \in \Lambda$ . Then we have*

$$(11) \quad \iota_{d(\lambda)}^{d(\lambda) \vee d(\mu)}(\Theta_{\lambda, \lambda}) \iota_{d(\mu)}^{d(\lambda) \vee d(\mu)}(\Theta_{\mu, \mu}) = \sum_{(\alpha, \beta) \in \Lambda^{\min}(\lambda, \mu)} \Theta_{\lambda\alpha, \mu\beta}.$$

*Proof.* Let  $f \in C_c(\partial\Lambda^{\geq d(\mu)})$  and  $g \in C_c(\partial\Lambda^{\geq d(\lambda) \vee d(\mu) - d(\mu)})$ . We show that the operators in (11) agree on the product  $fg \in C_c(\partial\Lambda^{\geq d(\lambda) \vee d(\mu)})$ , and then the result will follow from Corollary 3.8 and the fact that  $C_c(\partial\Lambda^{\geq d(\lambda) \vee d(\mu)})$  is dense in  $X_{d(\lambda) \vee d(\mu)}$ .

We know that for each  $\mu \in \Lambda$  we have  $\Theta_{\mu, \mu}(f) = \mathcal{X}_{D_\mu} \cdot \langle \mathcal{X}_{D_\mu}, f \rangle_{d(\mu)}$ . It follows from a routine calculation using (3) and (4) that  $\Theta_{\mu, \mu}(f) = \mathcal{X}_{D_\mu} f$ , where  $\mathcal{X}_{D_\mu} f$  is a product of functions in  $C_c(\partial\Lambda^{\geq d(\mu)})$ . It now follows from (1) that

$$(12) \quad \iota_{d(\mu)}^{d(\lambda) \vee d(\mu)}(\Theta_{\mu, \mu})(fg) = (\Theta_{\mu, \mu}(f))g = (\mathcal{X}_{D_\mu} f)g.$$

We now use Corollary 3.8 to factor  $(\mathcal{X}_{D_\mu} f)g = hl$ , where  $h \in C_c(\partial\Lambda^{\geq d(\lambda)})$  and  $l \in C_c(\partial\Lambda^{\geq d(\lambda) \vee d(\mu) - d(\lambda)})$ . For  $x \in \partial\Lambda^{\geq d(\lambda) \vee d(\mu)}$  we have

$$\begin{aligned} \iota_{d(\lambda)}^{d(\lambda) \vee d(\mu)}(\Theta_{\lambda, \lambda}) \iota_{d(\mu)}^{d(\lambda) \vee d(\mu)}(\Theta_{\mu, \mu})(fg)(x) &= \iota_{d(\lambda)}^{d(\lambda) \vee d(\mu)}(\Theta_{\lambda, \lambda})(hl)(x) \\ &= (\mathcal{X}_{D_\lambda} h)l(x) \\ &= \begin{cases} hl(x) & \text{if } x \in D_\lambda, \\ 0 & \text{otherwise} \end{cases} \\ &= \begin{cases} fg(x) & \text{if } x \in D_\lambda \cap D_\mu, \\ 0 & \text{otherwise.} \end{cases} \end{aligned}$$

We know from Lemma 3.4 that  $D_\lambda \cap D_\mu = \sqcup_{(\alpha,\beta) \in \Lambda^{\min}(\lambda,\mu)} D_{\lambda\alpha}$ . So we have

$$\begin{aligned} \iota_{d(\lambda)}^{d(\lambda) \vee d(\mu)}(\Theta_{\lambda,\lambda}) \iota_{d(\mu)}^{d(\lambda) \vee d(\mu)}(\Theta_{\mu,\mu})(fg)(x) &= \begin{cases} fg(x) & \text{if } x \in \sqcup_{(\alpha,\beta) \in \Lambda^{\min}(\lambda,\mu)} D_{\lambda\alpha}, \\ 0 & \text{otherwise.} \end{cases} \\ &= \left( \sum_{(\alpha,\beta) \in \Lambda^{\min}(\lambda,\mu)} \Theta_{\lambda\alpha,\mu\beta} \right) (fg)(x), \end{aligned}$$

and the result follows.  $\square$

*Proof of Proposition 4.3.* It follows from the Nica covariance of  $j_X$  that

$$\begin{aligned} S_\lambda S_\lambda^* S_\mu S_\mu^* &= j_X^{(d(\lambda))}(\Theta_{\lambda,\lambda}) j_X^{(d(\mu))}(\Theta_{\mu,\mu}) \\ &= j_X^{(d(\lambda) \vee d(\mu))} \left( \iota_{d(\lambda)}^{d(\lambda) \vee d(\mu)}(\Theta_{\lambda,\lambda}) \iota_{d(\mu)}^{d(\lambda) \vee d(\mu)}(\Theta_{\mu,\mu}) \right). \end{aligned}$$

It follows from this equation and Lemma 4.4 that

$$\begin{aligned} S_\lambda \left( \sum_{(\alpha,\beta) \in \Lambda^{\min}(\lambda,\mu)} S_\alpha S_\beta^* \right) S_\mu^* &= \sum_{(\alpha,\beta) \in \Lambda^{\min}(\lambda,\mu)} S_{\lambda\alpha} S_{\mu\beta}^* \\ &= \sum_{(\alpha,\beta) \in \Lambda^{\min}(\lambda,\mu)} j_X^{(d(\lambda) \vee d(\mu))}(\Theta_{\lambda\alpha,\mu\beta}) \\ &= j_X^{(d(\lambda) \vee d(\mu))} \left( \sum_{(\alpha,\beta) \in \Lambda^{\min}(\lambda,\mu)} \Theta_{\lambda\alpha,\mu\beta} \right) \\ &= S_\lambda S_\lambda^* S_\mu S_\mu^*. \end{aligned}$$

It then follows that

$$\begin{aligned} S_\lambda^* S_\mu &= (S_\lambda^* S_\lambda S_\lambda^*)(S_\mu S_\mu^* S_\mu) = S_\lambda^* (S_\lambda S_\lambda^* S_\mu S_\mu^*) S_\mu \\ &= S_\lambda^* S_\lambda \left( \sum_{(\alpha,\beta) \in \Lambda^{\min}(\lambda,\mu)} S_\alpha S_\beta^* \right) S_\mu^* S_\mu \\ &= \sum_{(\alpha,\beta) \in \Lambda^{\min}(\lambda,\mu)} S_{s(\lambda)} S_\alpha (S_{s(\mu)} S_\beta)^* \\ &= \sum_{(\alpha,\beta) \in \Lambda^{\min}(\lambda,\mu)} S_\alpha S_\beta^*. \end{aligned} \quad \square$$

Recall from Section 2.2 that  $I_n$  is given by  $I_n := \bigcap_{0 \leq m \leq n} \ker \phi_m$ . To prove that  $S$  satisfies (CK4), we need to find families which span dense subspaces of the Hilbert bimodules  $X_m \cdot I_{n-m}$ , for  $m, n \in \mathbb{N}^k$  with  $m \leq n$ . To do this, we must first find families which span dense subspaces of the bimodules  $X_n$  and the ideals  $I_n$ .

**Proposition 4.5.** *For each  $n \in \mathbb{N}^k$  we have  $X_n = \overline{\text{span}}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : (\lambda, F) \in \mathcal{A}^n\}$ .*

*Proof.* Let  $f \in C_c(\partial\Lambda^{\geq n})$ . We can use the same argument as in the beginning of the proof of Proposition 3.2 to write  $\text{supp } f \subseteq \bigcup_{j=1}^h D_{\mu_j} \setminus D_{\mu_j G_j}$ , where  $(\mu_j, G_j) \in \mathcal{A}^n$  and

$D_{\mu_j} \setminus D_{\mu_j G_j} \subseteq \partial\Lambda^{\geq n}$  for each  $1 \leq j \leq h$ . We now take a partition of unity  $\rho_1, \dots, \rho_h$  subordinate to  $\{D_{\mu_j} \setminus D_{\mu_j G_j} : 1 \leq j \leq h\}$ , and for  $f_j := f\rho_j \in C(D_{\mu_j} \setminus D_{\mu_j G_j})$  we have

$$(13) \quad f = \sum_{j=1}^h f_j.$$

Now for each  $1 \leq j \leq h$  we have  $d(\mu_j) \geq n$ . So  $\sigma_n$  is injective on  $D_{\mu_j} \setminus D_{\mu_j G_j}$ , and hence

$$(14) \quad \|f_j\|_n = \sup\{|f_j(x)| : x \in D_{\mu_j} \setminus D_{\mu_j G_j}\} = \|f_j\|_\infty.$$

Now, it follows from Lemma 3.4 that for each  $(\lambda, F) \in \mathcal{A}$  the set

$$\text{span}\{\mathcal{X}_{D_\mu \setminus D_{\mu G}} : (\mu, G) \in \mathcal{A} \text{ and } D_\mu \setminus D_{\mu G} \subseteq D_\lambda \setminus D_{\lambda F}\}$$

is a subalgebra of  $C(D_\lambda \setminus D_{\lambda F})$ . An application of the Stone-Weierstrass Theorem shows that the closure of that span is equal to  $C(D_\lambda \setminus D_{\lambda F})$ , and hence each  $f_j$  can be uniformly approximated by elements in  $\text{span}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : d(\lambda) \geq n\}$ . It now follows from (14) that  $f_j$  can be uniformly approximated by elements in  $\text{span}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : d(\lambda) \geq n\}$  with respect to  $\|\cdot\|_n$ , and then (13) says that  $f$  can be approximated by elements in  $\text{span}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : d(\lambda) \geq n\}$  with respect to  $\|\cdot\|_n$ . The result follows because  $C_c(\partial\Lambda \setminus \partial_n)$  is dense in  $X_n$  with respect to  $\|\cdot\|_n$ .  $\square$

**Definition 4.6.** Let  $i \in \{1, \dots, k\}$  and  $e_i$  denote the standard basis element of  $\mathbb{N}^k$ . We say that  $(\lambda, F) \in \mathcal{A}$  satisfies condition  $K(i)$  if

$$\mu \in s(\lambda)\Lambda \text{ with } d(\mu) \geq e_i \implies D_\mu \subseteq D_\nu \text{ for some } \nu \in F.$$

**Proposition 4.7.** For each  $n \in \mathbb{N}^k$  we have

$$I_n = \overline{\text{span}}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : n_i > 0 \implies d(\lambda)_i = 0 \text{ and } (\lambda, F) \text{ sat. cond. } K(i)\}.$$

To prove this proposition we need the following result.

**Lemma 4.8.** Let  $i \in \{1, \dots, k\}$  and  $(\lambda, F) \in \mathcal{A}$ . Then  $D_\lambda \setminus D_{\lambda F} \subseteq \partial\Lambda^{\geq e_i}$  if and only if  $d(\lambda)_i = 0$  and  $(\lambda, F)$  satisfies condition  $K(i)$ . Moreover, we have

$$(15) \quad \ker \phi_{e_i} = \overline{\text{span}}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : (\lambda, F) \in \mathcal{A}, d(\lambda)_i = 0 \text{ and } (\lambda, F) \text{ satisfies condition } K(i)\}.$$

*Proof.* Suppose  $D_\lambda \setminus D_{\lambda F} \subseteq \partial\Lambda^{\geq e_i}$ . Then we obviously have  $d(\lambda)_i = 0$ . Suppose that  $(\lambda, F)$  does not satisfy condition  $K(i)$ . Then there exists  $\mu \in s(\lambda)\Lambda$  with  $d(\mu) \geq e_i$ , and  $x \in D_\mu$  with  $x \notin D_\nu$  for all  $\nu \in F$ . Consider the boundary path  $\lambda x$ . We have  $d(\lambda x)_i > 0$  and  $\lambda x \in D_\lambda \setminus D_{\lambda F}$ . But  $d(\lambda x)_i > 0 \implies \lambda x \in \partial\Lambda^{\geq e_i}$ , and this is a contradiction, so  $(\lambda, F)$  satisfies condition  $K(i)$ .

Now suppose that  $d(\lambda)_i = 0$  and  $(\lambda, F)$  satisfies condition  $K(i)$ . Assume that  $D_\lambda \setminus D_{\lambda F} \not\subseteq \partial\Lambda^{\geq e_i}$ , so there exists  $x \in D_\lambda \setminus D_{\lambda F}$  with  $x \in \partial\Lambda^{\geq e_i}$ . This implies that  $d(x)_i > 0$ . Consider the edge  $\mu := x(d(\lambda), d(\lambda) + e_i)$ , which we know exists because  $d(\lambda)_i = 0$ . We have  $\mu \in s(\lambda)\Lambda$  and  $d(\mu) = e_i$ . The boundary path  $\sigma_{d(\lambda)}(x)$  satisfies  $\sigma_{d(\lambda)}(x) \in D_\mu$  and  $\sigma_{d(\lambda)}(x) \notin D_\nu$  for all  $\nu \in F$ , and so  $D_\mu \not\subseteq D_\nu$  for all  $\nu \in F$ . But this contradicts that  $(\lambda, F)$  satisfies condition  $K(i)$ , so we must have  $D_\lambda \setminus D_{\lambda F} \subseteq \partial\Lambda^{\geq e_i}$ .

Now, it follows from Lemma 3.4 and an application of the Stone-Weierstrass Theorem for locally compact spaces that for any open subset  $U$  of  $\partial\Lambda$  we have

$$C_0(U) = \overline{\text{span}}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : (\lambda, F) \in \mathcal{A} \text{ and } D_\lambda \setminus D_{\lambda F} \subseteq U\}.$$

It follows that

$$\begin{aligned}
\ker \phi_{e_i} &= \{a \in C_0(\partial\Lambda) : a|_{\partial\Lambda^{\geq e_i}} = 0\} \\
&= \{a \in C_0(\partial\Lambda) : a|_{\overline{\partial\Lambda^{\geq e_i}}} = 0\} \\
&= C_0(\text{int } \partial\Lambda^{\geq e_i}) \\
&= \overline{\text{span}}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : (\lambda, F) \in \mathcal{A} \text{ and } D_\lambda \setminus D_{\lambda F} \subseteq \text{int } \partial\Lambda^{\geq e_i}\} \\
&= \overline{\text{span}}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : (\lambda, F) \in \mathcal{A} \text{ and } D_\lambda \setminus D_{\lambda F} \subseteq \partial\Lambda^{\geq e_i}\} \\
&= \overline{\text{span}}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : (\lambda, F) \in \mathcal{A}, d(\lambda)_i = 0, (\lambda, F) \text{ satisfies condition } K(i)\}.
\end{aligned}$$

□

*Proof of Proposition 4.7.* We have

$$\begin{aligned}
\ker \phi_n &= \{a \in C_0(\partial\Lambda) : a|_{\partial\Lambda^{\geq n}} = 0\} = \{a \in C_0(\partial\Lambda) : a|_{\overline{\partial\Lambda^{\geq n}}} = 0\} \\
&= C_0(\text{int } \partial\Lambda^{\geq n}).
\end{aligned}$$

Since  $m \leq n \implies \partial\Lambda^{\geq m} \subseteq \partial\Lambda^{\geq n}$ , it follows that  $m \leq n \implies \ker \phi_m \subseteq \ker \phi_n$ . Hence  $I_n = \bigcap_{\{i: n_i > 0\}} \ker \phi_{e_i}$ , and the result now follows from Lemma 4.8. □

**Notation 4.9.** Let  $n \in \mathbb{N}^k$ . We define

$$\begin{aligned}
\mathcal{I}(I_n) &:= \{(\lambda, F) \in \mathcal{A} : D_\lambda \setminus D_{\lambda F} \neq \emptyset \text{ and} \\
&\quad n_i > 0 \implies d(\lambda)_i = 0 \text{ and } (\lambda, F) \text{ satisfies condition } K(i)\},
\end{aligned}$$

and for  $\mu \in \Lambda$  we write  $\mu\mathcal{I}(I_n) := \{(\mu\lambda, F) : (\lambda, F) \in \mathcal{I}(I_n) \text{ with } s(\mu) = r(\lambda)\}$ . The reason for introducing this notation is that we can now write

$$I_n = \overline{\text{span}}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : (\lambda, F) \in \mathcal{I}(I_n)\}.$$

**Proposition 4.10.** Let  $m, n \in \mathbb{N}^k$  with  $m \leq n$ . Then we have

$$(16) \quad X_m \cdot I_{n-m} = \overline{\text{span}}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : (\lambda, F) \in \mathcal{A}^m \cap \lambda(0, m)\mathcal{I}(I_{n-m})\}.$$

*Proof.* We have  $X_m \cdot I_{n-m} = \overline{\text{span}}\{x \cdot a : x \in X_m, a \in I_{n-m}\}$ . To prove that the right-hand side of (16) is contained in the left-hand side, we let  $m, n \in \mathbb{N}^k$  with  $m \leq n$ , and suppose  $(\lambda, F) \in \mathcal{A}^m \cap \lambda(0, m)\mathcal{I}(I_{n-m})$ . Then  $(\lambda(m, d(\lambda)), F) \in \mathcal{I}(I_{n-m})$ , and for  $x \in \partial\Lambda^{\geq m}$  we have

$$\begin{aligned}
\mathcal{X}_{D_\lambda \setminus D_{\lambda F}}(x) &= \begin{cases} 1 & \text{if } x(0, d(\lambda)) = \lambda \text{ and } x(0, d(\lambda\nu)) \neq \lambda\nu \\ & \text{for all } \nu \in F, \\ 0 & \text{otherwise} \end{cases} \\
&= \mathcal{X}_{D_\lambda}(x) \mathcal{X}_{D_{\lambda(m, d(\lambda))} \setminus D_{\lambda(m, d(\lambda))F}}(\sigma_m(x)) \\
&= (\mathcal{X}_{D_\lambda} \cdot \mathcal{X}_{D_{\lambda(m, d(\lambda))} \setminus D_{\lambda(m, d(\lambda))F}})(x).
\end{aligned}$$

So  $\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} = \mathcal{X}_{D_\lambda} \cdot \mathcal{X}_{D_{\lambda(m, d(\lambda))} \setminus D_{\lambda(m, d(\lambda))F}} \in X_m \cdot I_{n-m}$ , and it follows that

$$\overline{\text{span}}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : (\lambda, F) \in \mathcal{A}^m \cap \lambda(0, m)\mathcal{I}(I_{n-m})\} \subset X_m \cdot I_{n-m}.$$

It follows from Proposition 4.7 and Proposition 4.5 that

$$X_m \cdot I_{n-m} = \overline{\text{span}}\{\mathcal{X}_{D_\rho \setminus D_{\rho F}} \cdot \mathcal{X}_{D_\tau \setminus D_{\tau G}} : (\rho, F) \in \mathcal{A}^m \text{ and } (\tau, G) \in \mathcal{I}(I_{n-m})\}.$$

So to prove that the left-hand side of (16) is contained in the right-hand side, it suffices to show that for  $(\rho, F) \in \mathcal{A}^m$  and  $(\tau, G) \in \mathcal{I}(I_{n-m})$  the product  $\mathcal{X}_{D_\rho \setminus D_{\rho F}} \cdot \mathcal{X}_{D_\tau \setminus D_{\tau G}}$  is an element of the right-hand side. Since  $\sigma_m^{-1}$  is continuous, the intersection

$$(17) \quad (D_\rho \setminus D_{\rho F}) \cap \sigma_m^{-1}(D_\tau \setminus D_{\tau G})$$

is an open and compact subset of  $D_\rho \setminus D_{\rho F}$ . Since it is open, we know there exists a subset  $\mathcal{J} \subseteq \mathcal{A}^m$  such that  $(D_\rho \setminus D_{\rho F}) \cap \sigma_m^{-1}(D_\tau \setminus D_{\tau G}) = \bigcup_{(\eta, H) \in \mathcal{J}} D_\eta \setminus D_{\eta H}$ ; since it is compact, there is a finite number, say  $h$ , of pairs  $(\eta_j, H_j) \in \mathcal{J}$  with

$$(D_\rho \setminus D_{\rho F}) \cap \sigma_m^{-1}(D_\tau \setminus D_{\tau G}) = \bigcup_{j=1}^h D_{\eta_j} \setminus D_{\eta_j H_j},$$

We know from Lemma 3.4 that the intersection of sets in the above finite union is a finite, disjoint union of sets of the same form. So it follows that there is a finite number, say  $l$ , of pairs  $(\mu_j, L_j) \in \mathcal{A}^m$  and constants  $c_j$  such that

$$(18) \quad \mathcal{X}_{D_\rho \setminus D_{\rho F}} \cdot \mathcal{X}_{D_\tau \setminus D_{\tau G}} = \mathcal{X}_{(D_\rho \setminus D_{\rho F}) \cap \sigma_m^{-1}(D_\tau \setminus D_{\tau G})} = \sum_{j=1}^l c_j \mathcal{X}_{D_{\mu_j} \setminus D_{\mu_j L_j}}.$$

To finish the proof, we need to show that each  $(\mu_j, L_j) \in \mu_j(0, m)\mathcal{I}(I_{n-m})$ . Suppose  $n_i > m_i$  and  $d(\mu_j)_i > m_i$ . Then for  $x \in D_{\mu_j} \setminus D_{\mu_j L_j}$  we have  $\sigma_m(x) \in D_\tau \setminus D_{\tau G}$  and  $\sigma_m(x)_i > 0$ . Since  $d(\tau)_i = 0$ , there exists a path  $\alpha := \sigma_m(x)(d(\tau), d(\tau) + e_i)$  satisfying  $\alpha \in s(\tau)\Lambda^{e_i}$ . Since  $(\tau, G)$  satisfies condition  $K(i)$ , we have  $D_\alpha \subseteq D_\xi$  for some  $\xi \in G$ . But this implies that  $\sigma_m(x) = \tau\alpha\sigma_m(x)(d(\tau) + e_i, d(x)) \in D_{\tau\xi} \subseteq D_{\tau G}$ , which contradicts  $\sigma_m(x) \in D_\tau \setminus D_{\tau G}$ . So we must have  $d(\mu_j)_i = m_i$ .

Now suppose  $n_i > m_i$  and there exists an edge  $\zeta \in s(\mu_j)\Lambda^{e_i}$  with  $D_\zeta \not\subseteq D_\nu$  for any  $\nu \in L_j$ . Let  $x \in s(\zeta)\partial\Lambda$ . Then  $\mu_j\zeta x \in D_{\mu_j} \setminus D_{\mu_j L_j}$ , which implies

$$(19) \quad \sigma_m(\mu_j\zeta x) \in D_\tau \setminus D_{\tau G}.$$

Since  $d(\tau)_i = 0$ , there exists a path  $\beta := \sigma_m(\mu_j\zeta x)(d(\tau), d(\tau) + e_i)$  satisfying  $\beta \in s(\tau)\Lambda^{e_i}$ . Since  $(\tau, G)$  satisfies condition  $K(i)$ , we have  $D_\beta \subseteq D_\xi$  for some  $\xi \in G$ . But this implies that  $\sigma_m(\mu_j\zeta x) = \tau\beta\sigma_m(\mu_j\zeta x)(d(\tau) + e_i, d(x)) \in D_{\tau\xi} \subseteq D_{\tau G}$ , which contradicts (19). So  $D_\zeta \subseteq D_\nu$  for some  $\nu \in L_j$ , and hence  $(\mu_j, L_j)$  satisfies condition  $K(i)$ .  $\square$

**Notation 4.11.** Let  $m, n \in \mathbb{N}^k$  with  $m \leq n$ . We denote

$$\mathcal{I}(X_m \cdot I_{n-m}) := \{(\lambda, F) : D_\lambda \setminus D_{\lambda F} \neq \emptyset, (\lambda, F) \in \mathcal{A}^m \cap \lambda(0, m)\mathcal{I}(I_{n-m})\}.$$

So we have

$$X_m \cdot I_{n-m} = \overline{\text{span}}\{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} : (\lambda, F) \in \mathcal{I}(X_m \cdot I_{n-m})\}.$$

**Proposition 4.12.** The set  $S = \{S_\lambda : \lambda \in \Lambda\}$  satisfies (CK4):

$$\prod_{\mu \in \mathcal{F}} (S_v - S_\mu S_\mu^*) = 0$$

for all  $v \in \Lambda^0$  and all nonempty finite exhaustive sets  $\mathcal{F} \subset r^{-1}(v)$ .

To prove this proposition we need the following results. For a finite subset  $G \subset \Lambda$  we denote by  $\vee d(G)$  the element  $\bigvee_{\mu \in G} d(\mu)$  of  $\mathbb{N}^k$ .

**Lemma 4.13.** *Let  $v \in \Lambda^0$  and  $\mathcal{F} \subseteq v\Lambda$  a finite exhaustive set;  $n \in \mathbb{N}^k$  with  $n \geq \vee d(\mathcal{F})$  and  $m \in \mathbb{N}^k$  with  $m \leq n$ ; and  $\lambda \in v\Lambda$  and  $F \subseteq s(\lambda)\Lambda$  with  $(\lambda, F) \in \mathcal{I}(X_m \cdot I_{n-m})$ . Then there exists  $\eta \in \mathcal{F}$  such that  $\lambda$  extends  $\eta$ .*

*Proof.* Suppose  $\lambda$  does not extend any element of  $\mathcal{F}$ . Since  $D_\lambda \setminus D_{\lambda F} \neq \emptyset$ , there exists a boundary path  $x \in D_\lambda \setminus D_{\lambda F}$ . Since  $\mathcal{F}$  is exhaustive, there exists  $\eta \in \mathcal{F}$  with  $x(0, d(\eta)) = \eta$ . So  $x \in D_\eta \cap (D_\lambda \setminus D_{\lambda F})$ , and the pair  $(x_\lambda^\eta, x_\eta^\lambda) \in \Lambda^{\min}(\lambda, \eta)$ . Since  $\lambda$  does not extend  $\eta$ , there exists  $i \in \{1, \dots, k\}$  with  $d(\lambda)_i < d(\eta)_i$ , and hence  $d(x_\lambda^\eta) \geq e_i$ . Since  $m_i \leq d(\lambda)_i < d(\eta)_i \leq n_i$ , we know  $(\lambda, F)$  satisfies condition  $K(i)$ , and hence  $D_{x_\lambda^\eta} \subseteq D_\nu$  for some  $\nu \in F$ . But this implies that  $x \in D_{\lambda x_\lambda^\eta} \subseteq D_{\lambda \nu}$ , which contradicts the fact  $x \notin D_{\lambda F}$ . So  $\lambda$  must extend an element of  $\mathcal{F}$ .  $\square$

**Lemma 4.14.** *Suppose  $n \in \mathbb{N}^k$  and  $\mu \in \Lambda$  with  $d(\mu) \leq n$ . Consider the element  $\tilde{x}$  given by  $\tilde{x} := (0, \dots, 0, \mathcal{X}_{D_\lambda \setminus D_{\lambda F}}, 0, \dots, 0) \in \tilde{X}_n$ , where  $(\lambda, F) \in \mathcal{I}(X_m \cdot I_{n-m})$  for  $m \leq n$ . Then we have*

$$\tilde{\iota}_{d(\mu)}^n(\Theta_{\mu, \mu})(\tilde{x}) = \begin{cases} \tilde{x} & \text{if } \lambda \text{ extends } \mu, \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* It follows from (2) that for  $r \leq n$  we have

$$(20) \quad \tilde{\iota}_{d(\mu)}^n(\Theta_{\mu, \mu})(\tilde{x})(r) = \iota_{d(\mu)}^r(\Theta_{\mu, \mu})(\tilde{x}(r)) = \begin{cases} \iota_{d(\mu)}^m(\Theta_{\mu, \mu})(\mathcal{X}_{D_\lambda \setminus D_{\lambda F}}) & \text{if } r = m, \\ 0 & \text{otherwise.} \end{cases}$$

Now assume  $m \geq d(\mu)$ . A straightforward calculation shows that

$$(21) \quad \mathcal{X}_{D_\lambda \setminus D_{\lambda F}} = \mathcal{X}_{D_{\lambda(d(\mu), d(\lambda))} \setminus D_{\lambda(0, d(\mu))}} \mathcal{X}_{D_{\lambda(d(\mu), d(\lambda))} F}.$$

We also have

$$\begin{aligned} \Theta_{\mu, \mu}(\mathcal{X}_{D_{\lambda(0, d(\mu))}})(x) &= \left( \mathcal{X}_{D_\mu} \cdot \langle \mathcal{X}_{D_\mu}^*, \mathcal{X}_{D_{\lambda(0, d(\mu))}} \rangle_{d(\mu)} \right)(x) \\ &= \mathcal{X}_{D_\mu}(x) \langle \mathcal{X}_{D_\mu}^*, \mathcal{X}_{D_{\lambda(0, d(\mu))}} \rangle_{d(\mu)}(\sigma_{d(\mu)}(x)) \\ &= \begin{cases} \sum_{\sigma_{d(\mu)}(y) = \sigma_{d(\mu)}(x)} \mathcal{X}_{D_\mu}(y) \mathcal{X}_{D_{\lambda(0, d(\mu))}}(y) & \text{if } x(0, d(\mu)) = \mu, \\ 0 & \text{otherwise} \end{cases} \\ &= \begin{cases} 1 & \text{if } \lambda(0, d(\mu)) = \mu \text{ and } x(0, d(\mu)) = \mu, \\ 0 & \text{otherwise} \end{cases} \\ &= \begin{cases} \mathcal{X}_{D_\mu}(x) & \text{if } \lambda \text{ extends } \mu, \\ 0 & \text{otherwise} \end{cases} \\ (22) \quad &= \begin{cases} \mathcal{X}_{D_{\lambda(0, d(\mu))}}(x) & \text{if } \lambda \text{ extends } \mu, \\ 0 & \text{otherwise.} \end{cases} \end{aligned}$$

It now follows from Equations (21) and (22) that

$$\begin{aligned}
 \iota_{d(\mu)}^m(\Theta_{\mu,\mu})(\mathcal{X}_{D_\lambda \setminus D_{\lambda F}}) &= \iota_{d(\mu)}^m(\Theta_{\mu,\mu})(\mathcal{X}_{D_{\lambda(0,d(\mu))}} \mathcal{X}_{D_{\lambda(d(\mu),d(\lambda))} \setminus D_{\lambda(d(\mu),d(\lambda))F}}) \\
 &= \Theta_{\mu,\mu}(\mathcal{X}_{D_{\lambda(0,d(\mu))}}) \mathcal{X}_{D_{\lambda(d(\mu),d(\lambda))} \setminus D_{\lambda(d(\mu),d(\lambda))F}} \\
 &= \begin{cases} \mathcal{X}_{D_{\lambda(0,d(\mu))}} \mathcal{X}_{D_{\lambda(d(\mu),d(\lambda))} \setminus D_{\lambda(d(\mu),d(\lambda))F}} & \text{if } \lambda \text{ extends } \mu, \\ 0 & \text{otherwise} \end{cases} \\
 (23) \quad &= \begin{cases} \mathcal{X}_{D_\lambda \setminus D_{\lambda F}} & \text{if } \lambda \text{ extends } \mu, \\ 0 & \text{otherwise.} \end{cases}
 \end{aligned}$$

Equations (20) and (23) now give the result.  $\square$

We are now ready to prove that  $S$  satisfies relation (CK4). The proof runs through the main argument from the proof of [23, Proposition 5.4].

*Proof of Proposition 4.12.* Fix  $v \in \Lambda^0$  and a finite exhaustive set  $\mathcal{F} \subset v\Lambda$ . We must show that

$$\prod_{\mu \in \mathcal{F}} (S_v - S_\mu S_\mu^*) = 0.$$

Recall from [21] that for a nonempty subset  $G$  of  $\mathcal{F}$ ,  $\Lambda^{\min}(G)$  denotes the set  $\{\lambda \in \Lambda : d(\lambda) = \vee d(G), \lambda \text{ extends } \mu \text{ for all } \mu \in G\}$ . Recall also that  $\vee \mathcal{F} := \bigcup_{G \subset \mathcal{F}} \Lambda^{\min}(G)$  is finite and is closed under minimal common extensions. We have

$$\begin{aligned}
 \prod_{\mu \in \mathcal{F}} (S_v - S_\mu S_\mu^*) &= S_v + \sum_{\substack{\emptyset \neq G \subset \mathcal{F} \\ \lambda \in \Lambda^{\min}(G)}} (-1)^{|G|} S_\lambda S_\lambda^* \\
 &= j_X^{(0)}(\Theta_{v,v}) + \sum_{\substack{\emptyset \neq G \subset \mathcal{F} \\ \lambda \in \Lambda^{\min}(G)}} (-1)^{|G|} j_X^{(\vee d(G))}(\Theta_{\lambda,\lambda}),
 \end{aligned}$$

where the first equation can be obtained through repeated application of (CK3). Since  $j_X$  is Cuntz-Pimsner covariant, it suffices to show that for each  $q \in \mathbb{N}^k$  there exists  $r \geq q$  such that for all  $s \geq r$ , we have

$$\tilde{\iota}_0^s(\Theta_{v,v}) + \sum_{\substack{\emptyset \neq G \subset \mathcal{F} \\ \lambda \in \Lambda^{\min}(G)}} (-1)^{|G|} \tilde{\iota}_{\vee d(G)}^s(\Theta_{\lambda,\lambda}) = 0.$$

For this, fix  $q \in \mathbb{N}^k$ , let  $r = q \vee (\vee d(\mathcal{F}))$  and fix  $s \geq r$ . It suffices to show that

$$(24) \quad \left( \tilde{\iota}_0^s(\Theta_{v,v}) + \sum_{\substack{\emptyset \neq G \subset \mathcal{F} \\ \lambda \in \Lambda^{\min}(G)}} (-1)^{|G|} \tilde{\iota}_{\vee d(G)}^s(\Theta_{\lambda,\lambda}) \right)(\tilde{x}) = 0,$$

where  $\tilde{x} \in \tilde{X}_s$  is given by  $\tilde{x} := (0, \dots, 0, \mathcal{X}_{D_\rho \setminus D_{\rho F}}, 0, \dots, 0)$ , for  $(\rho, F) \in \mathcal{I}(X_t \cdot I_{s-t})$ ,  $t \leq s$ . For any  $\mu \in \mathcal{F}$  we have  $s \geq d(\mu)$ . It then follows from Lemma 4.14 that

$$(25) \quad \tilde{\iota}_{d(\mu)}^s(\Theta_{\mu,\mu})(\tilde{x}) = \begin{cases} \tilde{x} & \text{if } \rho \text{ extends } \mu, \\ 0 & \text{otherwise.} \end{cases}$$

Fix a nonempty subset  $G$  of  $\mathcal{F}$ . Then

$$\left( \prod_{\mu \in G} \tilde{\iota}_{d(\mu)}^s(\Theta_{\mu,\mu}) \right)(\tilde{x}) = \begin{cases} \tilde{x} & \text{if } \rho \text{ extends each } \mu \in G, \\ 0 & \text{otherwise.} \end{cases}$$

The factorisation property implies that  $\rho$  extends each  $\mu \in G$  if and only if there exists  $\lambda \in \Lambda^{\min}(G)$  such that  $\rho$  extends  $\lambda$ . The factorisation property also implies that if there does exist such a  $\lambda \in \Lambda^{\min}(G)$ , then it is necessarily unique. We therefore have

$$\left( \prod_{\mu \in G} \tilde{\iota}_{d(\mu)}^s(\Theta_{\mu,\mu}) \right)(\tilde{x}) = \left( \sum_{\lambda \in \Lambda^{\min}(G)} \tilde{\iota}_{\vee d(G)}^s(\Theta_{\mu,\mu}) \right)(\tilde{x}).$$

Since  $G$  was an arbitrary subset of  $\mathcal{F}$ , we have

$$\begin{aligned} & \left( \prod_{\mu \in \mathcal{F}} (\tilde{\iota}_0^s(\Theta_{v,v}) - \tilde{\iota}_{d(\mu)}^s(\Theta_{\mu,\mu})) \right)(\tilde{x}) \\ &= \left( \tilde{\iota}_0^s(\Theta_{v,v}) + \sum_{\emptyset \neq G \subset \mathcal{F}} \left( (-1)^{|G|} \prod_{\mu \in G} \tilde{\iota}_{d(\mu)}^s(\Theta_{\mu,\mu}) \right) \right)(\tilde{x}) \\ &= \left( \tilde{\iota}_0^s(\Theta_{v,v}) + \sum_{\substack{\emptyset \neq G \subset \mathcal{F} \\ \lambda \in \Lambda^{\min}(G)}} (-1)^{|G|} \tilde{\iota}_{\vee d(G)}^s(\Theta_{\lambda,\lambda}) \right)(\tilde{x}). \end{aligned}$$

Now we can apply Lemma 4.13 to see that there exists  $\eta \in \mathcal{F}$  such that  $\rho$  extends  $\eta$ . It now follows from Equation (25) that

$$\begin{aligned} & \left( \prod_{\mu \in \mathcal{F}} (\tilde{\iota}_0^s(\Theta_{v,v}) - \tilde{\iota}_{d(\mu)}^s(\Theta_{\mu,\mu})) \right)(\tilde{x}) \\ &= \left( \prod_{\mu \in \mathcal{F} \setminus \{\eta\}} (\tilde{\iota}_0^s(\Theta_{v,v}) - \tilde{\iota}_{d(\mu)}^s(\Theta_{\mu,\mu})) \right) ((\tilde{\iota}_0^s(\Theta_{v,v}) - \tilde{\iota}_{d(\eta)}^s(\Theta_{\eta,\eta}))) (\tilde{x}) \\ &= 0, \end{aligned}$$

and hence Equation (24) is established.  $\square$

*Proof of Theorem 4.1.* Lemma 4.2, Proposition 4.3 and Proposition 4.12 show that the set  $S := \{S_\lambda = j_X(\mathcal{X}_{D_\lambda}) : \lambda \in \Lambda\}$  is a family of partial isometries satisfying the Cuntz-Krieger relations (CK1)–(CK4). It follows from the universal property of  $C^*(\Lambda)$  that there exists a homomorphism  $\pi : C^*(\Lambda) \rightarrow \mathcal{NO}(X)$  such that  $\pi(s_\lambda) = j_X(\mathcal{X}_{D_\lambda})$  for each  $\lambda \in \Lambda$ . We know from [23, Proposition 3.12] that  $\mathcal{NO}(X) = \overline{\text{span}}\{j_X(x)j_X(y)^* : x, y \in X\}$ . For each  $\lambda \in \Lambda$  and  $F \subseteq s(\lambda)\Lambda$  we have  $\mathcal{X}_{D_\lambda \setminus D_{\lambda F}} = \mathcal{X}_{D_\lambda} - \sum_{\nu \in F} \mathcal{X}_{D_{\lambda\nu}}$ , and so

$$j_X(\mathcal{X}_{D_\lambda \setminus D_{\lambda F}}) = j_X(\mathcal{X}_{D_\lambda}) - j_X \left( \sum_{\nu \in F} \mathcal{X}_{D_{\lambda\nu}} \right) = S_\lambda - \sum_{\nu \in F} S_{\lambda\nu}.$$

It then follows from Proposition 4.5 that  $S$  generates  $\mathcal{NO}(X)$ , and hence  $\pi$  is surjective. It follows from [5, Lemma 5.13(2) and Lemma 5.15] that each  $D_\lambda \neq \emptyset$ , and hence each  $\mathcal{X}_{D_\lambda} \neq 0$ . It then follows from [23, Theorem 4.1] that each  $S_\lambda \neq 0$ . (Note that the quasi-lattice ordered group  $(\mathbb{N}^k, \mathbb{Z}^k)$  satisfies [23, Condition (3.5)], and so [23, Theorem 4.1] can indeed be applied.) Since  $\pi$  intertwines the gauge actions of  $\mathbb{T}^k$  on  $\mathcal{NO}(X)$  and



$C^*(\Lambda)$ , the gauge-invariant uniqueness theorem for  $C^*(\Lambda)$  [22, Theorem 4.2] implies that  $\pi$  is an isomorphism.  $\square$

## 5. CONNECTIONS TO SEMIGROUP CROSSED PRODUCTS

We begin this section by building a crossed product from a finitely-aligned  $k$ -graph  $\Lambda$ . For each  $n \in \mathbb{N}^k$  we define a *partial endomorphism*  $\alpha_n : C_0(\partial\Lambda) \rightarrow C_0(\partial\Lambda^{\geq n})$  given by  $\alpha_n(f) = f \circ \sigma_n$ . We claim that for  $f \in C_c(\partial\Lambda^{\geq n})$  the function  $L_n(f)$  given by

$$L_n(f)(x) = \begin{cases} \sum_{\sigma_n(y)=x} f(y) & \text{if } x \in \sigma_n(\partial\Lambda), \\ 0 & \text{otherwise} \end{cases}$$

is well-defined and is an element of  $C_c(\partial\Lambda)$ . We can cover  $\text{supp } f$  with finitely many sets  $U_i$  such that  $\sigma_n(U_i)$  is open,  $\overline{\sigma_n(U_i)}$  is compact, and  $\sigma_n|_{U_i}$  is a homeomorphism. The function  $f$  must be zero on all but a finite number of points in  $\sigma_n^{-1}(x)$ . Then near any  $x \in \sigma_n(\partial\Lambda)$ ,  $L_n(f) = \sum_{\{i: x \in \sigma_n(U_i)\}} f \circ (\sigma_n|_{U_i})^{-1}$  is a finite sum of continuous functions with compact support. Since  $\sigma_n(x)$  is open,  $L_n(f) \in C_c(\partial\Lambda)$ , and the claim is proved. Routine calculations show that each  $L_n$  satisfies the transfer-operator identity:  $L_n(\alpha_n(f)g) = fL_n(g)$  for all  $f \in C_0(\partial\Lambda)$ ,  $g \in C_c(\partial\Lambda^{\geq n})$ . Adapting Exel's construction of a Hilbert bimodule [6] to accommodate the partial maps, and applying it to  $(C_0(\partial\Lambda), \alpha_n, L_n)$ , gives the Hilbert  $C_0(\partial\Lambda)$ -bimodule  $X_n$  from Section 3. So we consider the boundary-path product system  $X$ , and take the suggested route of [2, Section 9] for defining a crossed product for the system  $(C_0(\partial\Lambda), \mathbb{N}^k, \alpha, L)$ :

**Definition 5.1.** Let  $\Lambda$  be a finitely-aligned  $k$ -graph, and consider the product system  $X$  given in Proposition 3.7. We define the *crossed product*  $C_0(\partial\Lambda) \rtimes_{\alpha, L} \mathbb{N}^k$  to be the Cuntz-Nica-Pimsner algebra  $\mathcal{NO}(X)$ .

**Corollary 5.2.** Let  $\Lambda$  be a finitely-aligned  $k$ -graph. Then  $C_0(\partial\Lambda) \rtimes_{\alpha, L} \mathbb{N}^k \cong C^*(\Lambda)$ .

For the remainder of this section we discuss the relationship between the crossed product  $C_0(\partial\Lambda) \rtimes_{\alpha, L} \mathbb{N}^k$  and the other crossed products in the literature which are given via transfer operators; namely, the non-unital version of Exel's crossed product [2], Exel and Royer's crossed product by a partial endomorphism [10], and Larsen's crossed product for semigroups [17]. The upshot of this discussion is that, when these crossed products can be defined, they coincide with  $C_0(\partial\Lambda) \rtimes_{\alpha, L} \mathbb{N}^k$ . To be make things clear, we use the following notation.

**Notation 5.3.** (1) For  $(A, \beta, \mathcal{L})$  a dynamical system in the sense of Exel and Royer [10] we denote by  $A \rtimes_{\beta, \mathcal{L}}^{\text{ER}} \mathbb{N}$  the crossed product given in [10, Definition 1.6].

(2) For  $(A, \beta, \mathcal{L})$  a dynamical system in the sense of [2, 6] we denote by  $A \rtimes_{\beta, \mathcal{L}}^{\text{BRV}} \mathbb{N}$  the crossed product given in [2, Section 4].

(3) For  $P$  an abelian semigroup and  $(A, P, \beta, \mathcal{L})$  a dynamical system in the sense of Larsen [17] we denote by  $A \rtimes_{\beta, \mathcal{L}}^{\text{Lar}} P$  the crossed product given in [17, Definition 2.2].

**5.1. Directed graphs.** Suppose  $\Lambda$  is a 1-graph. Then for each  $\lambda, \mu \in \Lambda$  we have  $|\Lambda^{\min}(\lambda, \mu)| \in \{0, 1\}$ , and so  $\Lambda$  is finitely aligned. As shown in [20, Examples 10.1–10.2],  $\Lambda$  is the path category of the directed graph  $E := (\Lambda^0, d^{-1}(1), r, s)$ . We know from [22, Proposition B.1] that  $C^*(\Lambda)$  coincides with the graph algebra  $C^*(E)$  as given in [12].

We denote by  $E^*$  the set of finite paths in  $E$  and by  $E^\infty$  the set of infinite paths in  $E$ . We define  $E_{\text{inf}}^* := \{\mu \in E^* : |r^{-1}(s(\mu))| = \infty\}$  and  $E_s^* := \{\mu \in E^* : r^{-1}(s(\mu)) = \emptyset\}$ , so  $E_{\text{inf}}^*$  is the set of paths whose source is an infinite receiver, and  $E_s^*$  is the set of paths whose source is a source in  $E$ . Then the boundary-path space  $\partial\Lambda$  coincides with  $\partial E := E^\infty \cup E_{\text{inf}}^* \cup E_s^*$ . We now freely use directed graphs  $E$  in place of 1-graphs  $\Lambda$  in Definition 5.1.

**Proposition 5.4.** *Let  $E$  be a directed graph. Then  $(C_0(\partial E), \alpha, L)$  is a dynamical system in the sense of [10], and we have  $C_0(\partial E) \rtimes_{\alpha, L}^{\text{ER}} \mathbb{N} \cong C_0(\partial E) \rtimes_{\alpha, L}^{\text{ER}} \mathbb{N}$ .*

To prove this proposition we need the following result.

**Proposition 5.5.** *Let  $(A, \beta, \mathcal{L})$  be a dynamical system in the sense of [10], and consider the Hilbert  $A$ -bimodule  $M$  constructed in [10, Section 1]. Then  $A \rtimes_{\beta, \mathcal{L}}^{\text{ER}} \mathbb{N}$  is isomorphic to Katsura's Cuntz-Pimsner algebra  $\mathcal{O}_M$  [13].*

*Proof.* The arguments in [1, Section 3] (or [2, Section 4]) extend across to this setting, except  $A \rtimes_{\beta, \mathcal{L}}^{\text{ER}} \mathbb{N}$  is defined by modding out redundancies  $(a, k)$  with  $a \in (\ker \phi)^\perp \cap \phi^{-1}(\mathcal{K}(M))$  instead of  $\overline{A\alpha(A)A} \cap \phi^{-1}(\mathcal{K}(M))$ . But  $(\ker \phi)^\perp \cap \phi^{-1}(\mathcal{K}(M))$  is precisely the ideal involved in Katsura's definition of  $\mathcal{O}_M$  [13, Definition 3.5].  $\square$

*Proof of Proposition 5.4.* The construction of the Hilbert  $A$ -bimodule  $M$  from [10] gives  $X_1$ . We know from [23, Proposition 5.3] that  $\mathcal{NO}(X)$  is isomorphic to Katsura's  $\mathcal{O}_{X_1}$ . We know from Proposition 5.5 that  $C_0(\partial E) \rtimes_{\alpha, L}^{\text{ER}} \mathbb{N} \cong \mathcal{O}_M$ . So we have

$$C_0(\partial E) \rtimes_{\alpha, L} \mathbb{N} = \mathcal{NO}(X) \cong \mathcal{O}_{X_1} = \mathcal{O}_M \cong C_0(\partial E) \rtimes_{\alpha, L}^{\text{ER}} \mathbb{N}. \quad \square$$

**5.2. Locally-finite directed graphs with no sources.** For a locally-finite directed graph  $\Lambda := E$  with no sources we have  $\partial E = E^\infty$ . We denote by  $\sigma$  the backward shift on  $E^\infty$ , and  $\alpha_E$  the endomorphism of  $C_0(E^\infty)$  given by  $\alpha_E(f) = f \circ \sigma$ . So  $\alpha_E = \alpha_1$ . For each  $f \in C_0(E^\infty)$  we denote by  $L_E(f)$  the function given by

$$L_E(f)(x) = \begin{cases} \frac{1}{|\sigma^{-1}(x)|} \sum_{\sigma(y)=x} f(y) & \text{if } x \in \sigma(E^\infty), \\ 0 & \text{otherwise} \end{cases}$$

So  $L_E$  is the normalised version of  $L_1$ . It is proved in [2, Section 2.1] that  $L_E$  is a transfer operator for  $(C_0(E^\infty), \alpha_E)$ .

**Proposition 5.6.** *Let  $E$  be a locally-finite directed graph with no sources. Then we have  $C_0(E^\infty) \rtimes_{\alpha, L} \mathbb{N} \cong C_0(E^\infty) \rtimes_{\alpha_E, L_E}^{\text{BRV}} \mathbb{N}$ .*

*Proof.* Recall the construction of the Hilbert  $C_0(E^\infty)$ -bimodule  $M_{L_E}$  [2, Section 3], and in particular that  $q : C_0(E^\infty) \rightarrow M_{L_E}$  denotes the quotient map. Since  $E$  is locally finite, the shift  $\sigma$  is proper. We can use this fact to find for each  $x \in E^\infty$  an open neighbourhood  $V$  of  $\sigma(x)$  such that  $|\sigma^{-1}(v)| = |\sigma^{-1}(\sigma(x))|$  for each  $v \in V$ , and it follows that the map  $d : E^\infty \rightarrow \mathbb{C}$  given by  $d(x) = \sqrt{|\sigma^{-1}(\sigma(x))|}$  is continuous. Straightforward calculations show that  $U : C_c(E^\infty) \rightarrow M_{L_E}$  given by  $U(f) = q(df)$  extends to an isomorphism of  $X_1$  onto  $M_{L_E}$ . So  $\mathcal{O}_{X_1} \cong \mathcal{O}_{M_{L_E}}$ . Since  $E$  has no sources, the homomorphism  $\phi : C_0(E^\infty) \rightarrow \mathcal{L}(M_{L_E})$  giving the left action on  $M_{L_E}$  is injective, and so  $(\ker \phi)^\perp = C_0(E^\infty)$ . It then

follows from [2, Corollary 4.2] that  $C_0(E^\infty) \rtimes_{\alpha_E, L_E}^{\text{BRV}} \mathbb{N} \cong \mathcal{O}_{M_{L_E}}$ . Finally, we know from [23, Proposition 5.3] that  $\mathcal{NO}(X) \cong \mathcal{O}_{X_1}$ , so we have

$$C_0(E^\infty) \rtimes_{\alpha, L} \mathbb{N} = \mathcal{NO}(X) \cong \mathcal{O}_{X_1} \cong \mathcal{O}_{M_{L_E}} \cong C_0(E^\infty) \rtimes_{\alpha_E, L_E}^{\text{BRV}} \mathbb{N}. \quad \square$$

**5.3. Regular  $k$ -graphs.** We now examine how  $C_0(\partial\Lambda) \rtimes_{\alpha, L} \mathbb{N}^k$  fits in with the theory of Larsen's semigroup crossed products [17].

If  $\Lambda$  is a row-finite  $k$ -graph with no sources, then  $\partial\Lambda$  is the set  $\Lambda^\infty$  of all graph morphisms from  $\Omega_{k, (\infty, \dots, \infty)}$  to  $\Lambda$ , and the shift maps are everywhere defined. So  $\alpha$  is an action by endomorphisms. We say a  $k$ -graph  $\Lambda$  is *regular* if it is row-finite with no sources, and there exists  $M_1, \dots, M_k \in \mathbb{N} \setminus \{0\}$  such that for each  $i \in \{1, \dots, k\}$  we have  $|\Lambda^{e_i} v| = M_i$  for all  $v \in \Lambda^0$ . For each  $x \in \Lambda^\infty$  and  $n \in \mathbb{N}^k$  define

$$\omega(n, x) := |\sigma_n^{-1}(\sigma_n(x))|^{-1} = \prod_{i=1}^k M_i^{-n_i}.$$

Then for each  $f \in C_0(\Lambda^\infty)$  the map  $\mathcal{L}_n(f)$  given by

$$\mathcal{L}_n(f)(x) = \begin{cases} \sum_{\sigma_n(y)=x} \omega(n, y) f(y) & \text{if } x \in \sigma_n(\Lambda^\infty), \\ 0 & \text{otherwise} \end{cases}$$

is a transfer operator for  $(C_0(\Lambda^\infty), \alpha_n)$ . Simple calculations show that

$$\sum_{\sigma_n(y)=x} \omega(n, y) = 1$$

for all  $x \in \Lambda^\infty$ ,  $n \in \mathbb{N}^k$ , and that  $\omega(m+n, x) = \omega(m, x)\omega(n, \sigma_m(x))$  for all  $x \in \Lambda^\infty$ ,  $m, n \in \mathbb{N}^k$ . Hence [9, Proposition 2.2], which still holds in the non-unital setting, gives an action  $\mathcal{L}$  of  $\mathbb{N}^k$  of transfer operators on  $C_0(\Lambda^\infty)$ . It follows that  $(C_0(\Lambda^\infty), \mathbb{N}^k, \alpha, \mathcal{L})$  is a dynamical system in the sense of Larsen [17, Section 2].

**Proposition 5.7.** *Let  $\Lambda$  be a regular  $k$ -graph. Then we have  $C_0(\Lambda^\infty) \rtimes_{\alpha, L} \mathbb{N}^k \cong C_0(\Lambda^\infty) \rtimes_{\alpha, \mathcal{L}}^{\text{Lar}} \mathbb{N}^k$ .*

*Proof.* We apply the construction in [17, Section 3.2] to the dynamical system  $(C_0(\Lambda^\infty), \mathbb{N}^k, \alpha, \mathcal{L})$  to form a product system  $M = \cup_{n \in \mathbb{N}^k} M_{\mathcal{L}_n}$ , and then [17, Proposition 4.3] says  $C_0(\Lambda^\infty) \rtimes_{\alpha, \mathcal{L}}^{\text{Lar}} \mathbb{N}^k$  is isomorphic to Fowler's Cuntz-Pimsner algebra  $\mathcal{O}(M)$  [11, Proposition 2.9]. Suppose  $M_1, \dots, M_k \in \mathbb{N} \setminus \{0\}$  such that for each  $i \in \{1, \dots, k\}$  we have  $|\Lambda^{e_i} v| = M_i$  for all  $v \in \Lambda^0$ . For each  $n \in \mathbb{N}^k$  denote  $M_n := \prod_{i=1}^k M_i^{-n_i}$ . Then the map  $f \mapsto q_n(\sqrt{M_n} f)$  from  $C_c(\Lambda^\infty)$  to  $M_{\mathcal{L}_n}$  extends to an isomorphism of  $X_n$  onto  $M_{\mathcal{L}_n}$ . These maps induce an isomorphism of the product systems  $X$  and  $M$  (observe the formulae for multiplication within  $X$ , Proposition 3.2, and  $M$ , [17, Equation 3.8]). So  $\mathcal{O}(X) \cong \mathcal{O}(M)$ .

Recall that each  $X_n$  is constructed from the topological graph  $(\Lambda^\infty, \Lambda^\infty, \sigma_n, \iota)$ , where  $\iota$  is the inclusion map. It then follows from [14, Proposition 1.24] that each  $\phi_n$  is injective and acts by compact operators. So we can apply [23, Corollary 5.2] to see that  $\mathcal{NO}(X)$  coincides with  $\mathcal{O}(X)$ . So we have

$$C_0(\Lambda^\infty) \rtimes_{\alpha, L} \mathbb{N}^k = \mathcal{NO}(X) = \mathcal{O}(X) \cong \mathcal{O}(M) \cong C_0(\Lambda^\infty) \rtimes_{\alpha, \mathcal{L}}^{\text{Lar}} \mathbb{N}^k. \quad \square$$

**5.4. Conclusion.** The results in this section justify our decision to define the crossed product  $C_0(\partial\Lambda) \rtimes_{\alpha,L} \mathbb{N}^k$  to be the Cuntz-Nica-Pimsner algebra  $\mathcal{NO}(X)$ , and we propose that the same definition is made for a general crossed product by a quasi-lattice ordered semigroup of partial endomorphisms and partially-defined transfer operators. The problem is that Sims and Yeend's Cuntz-Nica-Pimsner algebra is only appropriate for a particular family (containing  $\mathbb{N}^k$ ) of quasi-lattice ordered semigroups. The “correct” definition of a Cuntz-Pimsner algebra of a product system over an arbitrary quasi-lattice ordered semigroup is yet to be found. (See [23, 3] for more discussion.)

## 6. APPENDIX

Recall that for  $(G, P)$  a quasi-lattice ordered group, and  $X$  a product system over  $P$  of Hilbert bimodules, we say that  $X$  is *compactly aligned* if for all  $p, q \in P$  such that  $p \vee q < \infty$ , and for all  $S \in \mathcal{K}(X_p)$  and  $T \in \mathcal{K}(X_q)$ , we have  $\iota_p^{p \vee q}(S) \iota_q^{p \vee q}(T) \in \mathcal{K}(X_{p \vee q})$ .

**Proposition 6.1.** *The product system  $X$  constructed in Section 3 is compactly aligned.*

We start with a definition and some notation.

**Definition 6.2.** Let  $n \in \mathbb{N}^k$ . We say that a subset  $\mathcal{J} \subseteq \mathcal{A}^n$  is *disjoint* if

$$(\lambda, F), (\mu, G) \in \mathcal{J} \text{ with } (\lambda, F) \neq (\mu, G) \implies (D_\lambda \setminus D_{\lambda F}) \cap (D_\mu \setminus D_{\mu G}) = \emptyset.$$

For  $(\lambda, F), (\mu, G) \in \mathcal{A}^n$  we write

$$\Theta_{(\lambda, F), (\mu, G)} := \Theta_{\mathcal{X}_{D_\lambda \setminus D_{\lambda F}}, \mathcal{X}_{D_\mu \setminus D_{\mu G}}} \in \mathcal{K}(X_n).$$

Let  $m, n \in \mathbb{N}^k$ . To prove Proposition 6.1 we first need to show that for each  $(\lambda_1, F_1), (\lambda_2, F_2) \in \mathcal{A}^m$  and  $(\mu_1, G_1), (\mu_2, G_2) \in \mathcal{A}^n$  we have

$$\iota_m^{m \vee n}(\Theta_{(\lambda_1, F_1), (\lambda_2, F_2)}) \iota_n^{m \vee n}(\Theta_{(\mu_1, G_1), (\mu_2, G_2)}) \in \mathcal{K}(X_{m \vee n}).$$

We do this by finding for each  $(\alpha, \beta) \in \Lambda^{\min}(\lambda_2, \mu_1)$  finite subsets  $\mathcal{H}_{(\alpha, \beta)}, \mathcal{J}_{(\alpha, \beta)} \subseteq \mathcal{A}^{m \vee n}$  such that  $\sqcup_{(\alpha, \beta)} \mathcal{H}_{(\alpha, \beta)}$  and  $\sqcup_{(\alpha, \beta)} \mathcal{J}_{(\alpha, \beta)}$  are disjoint, and

$$(26) \quad \begin{aligned} \iota_m^{m \vee n}(\Theta_{(\lambda_1, F_1), (\lambda_2, F_2)}) \iota_n^{m \vee n}(\Theta_{(\mu_1, G_1), (\mu_2, G_2)}) \\ = \sum_{(\alpha, \beta) \in \Lambda^{\min}(\lambda_2, \mu_1)} \sum_{\substack{(\kappa, H) \in \mathcal{H}_{(\alpha, \beta)} \\ (\omega, J) \in \mathcal{J}_{(\alpha, \beta)}}} \Theta_{(\kappa, H), (\omega, J)}. \end{aligned}$$

To find the correct  $\mathcal{H}_{(\alpha, \beta)}$  and  $\mathcal{J}_{(\alpha, \beta)}$ , we evaluate both sides of (26) on products  $fg$ , where  $f \in C_c(\partial\Lambda^{\geq n})$  and  $g \in C_c(\partial\Lambda^{\geq m \vee n - n})$ . For the left-hand-side of (26) we use (1) and Corollary 3.8 to factor

$$\iota_n^{m \vee n}(\Theta_{(\mu_1, G_1), (\mu_2, G_2)})(fg) = \Theta_{(\mu_1, G_1), (\mu_2, G_2)}(f)g = hl,$$

where  $h \in C_c(\partial\Lambda^{\geq m})$  and  $l \in C_c(\partial\Lambda^{\geq m \vee n - m})$ . Then for  $x \in \partial\Lambda^{\geq m \vee n}$  we have

$$\begin{aligned}
& \iota_m^{m \vee n} \left( \Theta_{(\lambda_1, F_1), (\lambda_2, F_2)} \right) \iota_n^{m \vee n} \left( \Theta_{(\mu_1, G_1), (\mu_2, G_2)} \right) (fg)(x) \\
&= \iota_m^{m \vee n} \left( \Theta_{(\lambda_1, F_1), (\lambda_2, F_2)} \right) (hl)(x) \\
&= \Theta_{(\lambda_1, F_1), (\lambda_2, F_2)}(h)l(x) \\
&= \mathcal{X}_{D_{\lambda_1} \setminus D_{\lambda_1 F_1}}(x) \langle \mathcal{X}_{D_{\lambda_2} \setminus D_{\lambda_2 F_2}}, h \rangle_m (\sigma_m(x)) l(\sigma_m(x)) \\
&= \mathcal{X}_{D_{\lambda_1} \setminus D_{\lambda_1 F_1}}(x) \left( \sum_{\sigma_m(y) = \sigma_m(x)} \overline{\mathcal{X}_{D_{\lambda_2} \setminus D_{\lambda_2 F_2}}(y)} h(y) \right) l(\sigma_m(x)) \\
&= \begin{cases} hl(\lambda_2(0, m)\sigma_m(x)) & \text{if } x \in (D_{\lambda_1} \setminus D_{\lambda_1 F_1}) \cap \sigma_m^{-1}(D_{\lambda_2(m, d(\lambda_2))} \setminus D_{\lambda_2(m, d(\lambda_2))F_2}), \\ 0 & \text{otherwise.} \end{cases}
\end{aligned}$$

A similar calculation to the one above gives

$$\begin{aligned}
& hl(\lambda_2(0, m)\sigma_m(x)) \\
&= \Theta_{(\mu_1, G_1), (\mu_2, G_2)}(f)g(\lambda_2(0, m)\sigma_m(x)) \\
&= \begin{cases} fg(\mu_2(0, n)\sigma_n(\lambda_2(0, m)\sigma_m(x))) & \text{if } \lambda_2(0, m)\sigma_m(x) \in (D_{\mu_1} \setminus D_{\mu_1 G_1}) \cap \\ & \sigma_n^{-1}(D_{\mu_2(n, d(\mu_2))} \setminus D_{\mu_2(n, d(\mu_2))G_2}), \\ 0 & \text{otherwise.} \end{cases}
\end{aligned}$$

So we label conditions

$$(27) \quad x \in (D_{\lambda_1} \setminus D_{\lambda_1 F_1}) \cap \sigma_m^{-1}(D_{\lambda_2(m, d(\lambda_2))} \setminus D_{\lambda_2(m, d(\lambda_2))F_2}),$$

and

$$(28) \quad \lambda_2(0, m)\sigma_m(x) \in (D_{\mu_1} \setminus D_{\mu_1 G_1}) \cap \sigma_n^{-1}(D_{\mu_2(n, d(\mu_2))} \setminus D_{\mu_2(n, d(\mu_2))G_2}),$$

and then we have

$$\begin{aligned}
(29) \quad & \iota_m^{m \vee n} \left( \Theta_{(\lambda_1, F_1), (\lambda_2, F_2)} \right) \iota_n^{m \vee n} \left( \Theta_{(\mu_1, G_1), (\mu_2, G_2)} \right) (fg)(x) \\
&= \begin{cases} fg(\mu_2(0, n)\sigma_n(\lambda_2(0, m)\sigma_m(x))) & \text{if } x \text{ satisfies (27) and (28),} \\ 0 & \text{otherwise.} \end{cases}
\end{aligned}$$

Now, for each  $(\alpha, \beta) \in \Lambda^{\min}(\lambda_2, \mu_1)$ ,  $(\kappa, H) \in \mathcal{H}_{(\alpha, \beta)}$  and  $(\omega, J) \in \mathcal{J}_{(\alpha, \beta)}$  we have

$$\begin{aligned}
& \Theta_{(\kappa, H), (\omega, J)}(fg)(x) \\
&= \mathcal{X}_{D_{\kappa} \setminus D_{\kappa H}}(x) \langle \mathcal{X}_{D_{\omega} \setminus D_{\omega J}}, fg \rangle_{m \vee n} (\sigma_{m \vee n}(x)) \\
&= \mathcal{X}_{D_{\kappa} \setminus D_{\kappa H}}(x) \left( \sum_{\sigma_{m \vee n}(y) = \sigma_{m \vee n}(x)} \overline{\mathcal{X}_{D_{\omega} \setminus D_{\omega J}}(y)} fg(y) \right) \\
&= \begin{cases} fg(\tau(0, m \vee n)\sigma_{m \vee n}(x)) & \text{if } x \in (D_{\kappa} \setminus D_{\kappa H}) \cap \sigma_{m \vee n}^{-1}(D_{\omega} \setminus D_{\omega J}), \\ 0 & \text{otherwise.} \end{cases}
\end{aligned}$$

Since  $\sqcup_{(\alpha,\beta)} \mathcal{H}_{(\alpha,\beta)}$  and  $\sqcup_{(\alpha,\beta)} \mathcal{J}_{(\alpha,\beta)}$  are disjoint, we have

$$(30) \quad \left( \sum_{(\alpha,\beta) \in \Lambda^{\min}(\lambda_2, \mu_1)} \sum_{\substack{(\kappa, H) \in \mathcal{H} \\ (\tau, J) \in \mathcal{J}}} \Theta_{(\kappa, H), (\omega, J)} \right) (fg)(x) \\ = \begin{cases} fg(\tau(0, m \vee n) \sigma_{m \vee n}(x)) & \text{if } x \in \sqcup_{\substack{(\alpha,\beta) \\ (\kappa, H), (\omega, J)}} (D_\kappa \setminus D_{\rho H}) \cap \sigma_{m \vee n}^{-1}(D_\omega \setminus D_{\omega J}), \\ 0 & \text{otherwise.} \end{cases}$$

Equation (26) now follows from (29), (30) and the following lemma.

**Lemma 6.3.** *Let  $m, n \in \mathbb{N}^k$ , and suppose the pairs  $(\lambda_1, F_1), (\lambda_2, F_2) \in \mathcal{A}^m$  and  $(\mu_1, G_1), (\mu_2, G_2) \in \mathcal{A}^n$ . Then for each pair  $(\alpha, \beta) \in \Lambda^{\min}(\lambda_2, \mu_1)$  there exists finite and disjoint subsets  $\mathcal{H}_{(\alpha,\beta)}, \mathcal{J}_{(\alpha,\beta)} \subseteq \mathcal{A}^{m \vee n}$  such that  $x \in \partial \Lambda^{\geq m \vee n}$  satisfies Equations (27) and (28) if and only if*

$$(31) \quad x \in \bigsqcup_{(\alpha,\beta) \in \Lambda^{\min}(\lambda_2, \mu_1)} \bigsqcup_{\substack{(\kappa, H) \in \mathcal{H}_{(\alpha,\beta)} \\ (\omega, J) \in \mathcal{J}_{(\alpha,\beta)}}} (D_\kappa \setminus D_{\kappa H}) \cap \sigma_{m \vee n}^{-1}(D_\omega \setminus D_{\omega J}).$$

Moreover, if  $x$  satisfies (27) and (28) and  $x \in (D_\kappa \setminus D_{\kappa H}) \cap \sigma_{m \vee n}^{-1}(D_\omega \setminus D_{\omega J})$ , then we have

$$\mu_2(0, n) \sigma_n(\lambda_2(0, m) \sigma_m(x)) = \omega(0, m \vee n) \sigma_{m \vee n}(x).$$

*Proof.* Recall that for  $\lambda, \mu \in \Lambda$  we denote by

$$F(\lambda, \mu) = \{\alpha \in \Lambda : (\alpha, \beta) \in \Lambda^{\min}(\lambda, \mu) \text{ for some } \beta \in \Lambda\}.$$

Let  $(\alpha, \beta) \in \Lambda^{\min}(\lambda_2, \mu_1)$ . For each  $(\gamma, \delta) \in \Lambda^{\min}(\lambda_1(m, d(\lambda_1)), \lambda_2(m, d(\lambda_2))\alpha)$  we define

$$H_{\gamma, \alpha} := \left( \bigcup_{\nu \in F_1} F(\lambda_1 \gamma, \lambda_1 \nu) \right) \cup \left( \bigcup_{\zeta \in F_2} F(\lambda_2(m, d(\lambda_2))\alpha \delta, \lambda_2(m, d(\lambda_2))\zeta) \right) \\ \cup \left( \bigcup_{\eta \in G_1} F(\mu_1 \beta \delta, \mu_1 \eta) \right),$$

and

$$\mathcal{H}_{(\alpha,\beta)} := \{(\lambda_1 \gamma, H_{\gamma, \alpha}) \in \mathcal{A}^{m \vee n} : (\gamma, \delta) \in \Lambda^{\min}(\lambda_1(m, d(\lambda_1)), \lambda_2(m, d(\lambda_2))\alpha)\}.$$

For each  $(\rho, \tau) \in \Lambda^{\min}(\mu_2(n, d(\mu_2)), \mu_1(n, d(\mu_1))\beta)$  we define

$$J_{\rho, \beta} := \left( \bigcup_{\xi \in G_2} F(\mu_2 \rho, \mu_2 \xi) \right) \cup \left( \bigcup_{\eta \in G_1} F(\mu_1(n, d(\mu_1))\beta \tau, \mu_1(n, d(\mu_1))\eta) \right) \\ \cup \left( \bigcup_{\zeta \in F_2} F(\lambda_2 \alpha \tau, \lambda_2 \zeta) \right),$$

and

$$\mathcal{J}_{(\alpha,\beta)} := \{(\mu_2 \rho, H_{\rho, \beta}) \in \mathcal{A}^{m \vee n} : (\rho, \tau) \in \Lambda^{\min}(\mu_2(n, d(\mu_2)), \mu_1(n, d(\mu_1))\beta)\}.$$

The sets  $\mathcal{H}_{(\alpha,\beta)}$  and  $\mathcal{J}_{(\alpha,\beta)}$  are finite sets because  $\Lambda$  is finitely aligned. Since the paths in the elements of  $\mathcal{H}_{(\alpha,\beta)}$  are of the same length, the factorisation property ensures that each  $\mathcal{H}_{(\alpha,\beta)}$  is disjoint. For the same reason, each  $\mathcal{J}_{(\alpha,\beta)}$  is disjoint. This explains why the second union in (31) is a disjoint union. Moreover, the sets  $\sqcup_{(\alpha,\beta)} \mathcal{H}_{(\alpha,\beta)}$  and  $\sqcup_{(\alpha,\beta)} \mathcal{J}_{(\alpha,\beta)}$  are disjoint, and hence why the first union in (31) is a disjoint union.

To prove the ‘only if’ part of the statement, we assume  $x \in \partial\Lambda^{\geq m \vee n}$  satisfies (27) and (28). We have to find pairs

$$\begin{aligned} (\alpha, \beta) &\in \Lambda^{\min}(\lambda_2, \mu_1), \\ (\gamma, \delta) &\in \Lambda^{\min}(\lambda_1(m, d(\lambda_1)), \lambda_2(m, d(\lambda_2))\alpha), \text{ and} \\ (\rho, \tau) &\in \Lambda^{\min}(\mu_2(n, d(\mu_2)), \mu_1(n, d(\mu_1))\beta) \end{aligned}$$

such that

$$\begin{aligned} \text{(a)} \quad &x \in D_{\lambda_1\gamma} \setminus D_{\lambda_1\gamma H_{\gamma,\alpha}}, \text{ and} \\ \text{(b)} \quad &\sigma_{m \vee n}(x) \in D_{\mu_2\rho(m \vee n, d(\mu_2\rho))} \setminus D_{\mu_2\rho(m \vee n, d(\mu_2\rho))J_{\rho,\beta}}. \end{aligned}$$

Now, we know from (27) and (28) that  $\lambda_2(0, m)\sigma_m(x) \in D_{\lambda_2} \cap D_{\mu_1}$ , so we take

$$(32) \quad (\alpha, \beta) := (\lambda_2(0, m)\sigma_m(x)_{\lambda_2}^{\mu_1}, \lambda_2(0, m)\sigma_m(x)_{\mu_1}^{\lambda_2}) \in \Lambda^{\min}(\lambda_2, \mu_1).$$

We know from (27) and (28) that  $\sigma_m(x) \in D_{\lambda_1(m, d(\lambda_1))} \cap D_{\lambda_2(m, d(\lambda_2))\alpha}$ , so we define  $(\gamma, \delta)$  to be the pair

$$(33) \quad \left( \sigma_m(x)_{\lambda_1(m, d(\lambda_1))}^{\lambda_2(m, d(\lambda_2))\alpha}, \sigma_m(x)_{\lambda_2(m, d(\lambda_2))\alpha}^{\lambda_1(m, d(\lambda_1))} \right) \in \Lambda^{\min}(\lambda_1(m, d(\lambda_1)), \lambda_2(m, d(\lambda_2))\alpha).$$

We now have  $\sigma_m(x) \in D_{\lambda_1(m, d(\lambda_1))\gamma}$ , and this along with (27) implies that  $x \in D_{\lambda_1\gamma}$ . We also have

$$(34) \quad x \in D_{\lambda_1\gamma} \text{ and } x \notin D_{\lambda_1 F_1} \implies x \notin D_{\lambda_1\gamma\nu'} \text{ for all } \nu' \in \bigcup_{\nu \in F_1} F(\lambda_1\gamma, \lambda_1\nu);$$

$$\begin{aligned} &\sigma_m(x) \in D_{\lambda_2(m, d(\lambda_2))\alpha\delta} \text{ and } \sigma_m(x) \notin D_{\lambda_2(m, d(\lambda_2))F_2} \\ &\implies \sigma_m(x) \notin D_{\lambda_2(m, d(\lambda_2))\alpha\delta\zeta'} \text{ for all } \zeta' \in \bigcup_{\zeta \in F_2} F(\lambda_2(m, d(\lambda_2))\alpha\delta, \lambda_2(m, d(\lambda_2))\zeta) \\ &\iff \sigma_m(x) \notin D_{\lambda_1(m, d(\lambda_1))\gamma\zeta'} \text{ for all } \zeta' \in \bigcup_{\zeta \in F_2} F(\lambda_2(m, d(\lambda_2))\alpha\delta, \lambda_2(m, d(\lambda_2))\zeta) \\ (35) \quad &\iff x \notin D_{\lambda_1\gamma\zeta'} \text{ for all } \bigcup_{\zeta \in F_2} F(\lambda_2(m, d(\lambda_2))\alpha\delta, \lambda_2(m, d(\lambda_2))\zeta); \end{aligned}$$

and

$$\begin{aligned}
(36) \quad & \lambda_2(0, m)\sigma_m(x) \in D_{\lambda_2\alpha\delta} \text{ and } \lambda_2(0, m)\sigma_m(x) \notin D_{\mu_1 G_1} \\
& \implies \lambda_2(0, m)\sigma_m(x) \notin D_{\lambda_2\alpha\delta\eta'} \text{ for all } \eta' \in \bigcup_{\eta \in G_1} F(\mu_1\beta\delta, \mu_1\eta) \\
& \iff \sigma_m(x) \notin D_{\lambda_2(m, d(\lambda_2))\alpha\delta\eta'} \text{ for all } \eta' \in \bigcup_{\eta \in G_1} F(\mu_1\beta\delta, \mu_1\eta) \\
& \iff \sigma_m(x) \notin D_{\lambda_1(m, d(\lambda_1))\gamma\eta'} \text{ for all } \eta' \in \bigcup_{\eta \in G_1} F(\mu_1\beta\delta, \mu_1\eta) \\
& \iff x \notin D_{\lambda_1\gamma\eta'} \text{ for all } \eta' \in \bigcup_{\eta \in G_1} F(\mu_1\beta\delta, \mu_1\eta).
\end{aligned}$$

It follows from (34), (35) and (36) that  $x \notin D_{\lambda_1\gamma H_{\gamma, \alpha}}$ , and so (a) is satisfied.

We have  $\sigma_n(\lambda_2(0, m)\sigma_m(x)) \in D_{\mu_1(n, d(\mu_1))\beta}$ , and it follows from (28) that  $\sigma_n(\lambda_2(0, m)\sigma_m(x)) \in D_{\mu_2(n, d(\mu_2))}$ . So we take

$$\begin{aligned}
(37) \quad & (\rho, \tau) := \left( \sigma_n(\lambda_2(0, m)\sigma_m(x))^{\mu_1(n, d(\mu_1))\beta}_{\mu_2(n, d(\mu_2))}, \sigma_n(\lambda_2(0, m)\sigma_m(x))^{\mu_2(n, d(\mu_2))}_{\mu_1(n, d(\mu_1))\beta} \right) \\
& \in \Lambda^{\min}(\mu_2(n, d(\mu_2)), \mu_1(n, d(\mu_1))\beta),
\end{aligned}$$

and we have

$$\begin{aligned}
& \sigma_n(\lambda_2(0, m)\sigma_m(x)) \in D_{\mu_2(n, d(\mu_2))\rho} \\
& \implies \sigma_{m \vee n}(x) = \sigma_{m \vee n}(\lambda_2(0, m)\sigma_m(x)) \in D_{\mu_2\rho(m \vee n, d(\mu_2\rho))}.
\end{aligned}$$

Suppose for contradiction that there exists  $\xi \in G_2$  and a pair  $(\xi', \xi'')$  in the set  $\Lambda^{\min}(\mu_2\rho, \mu_2\xi)$  with  $\sigma_{m \vee n}(x) \in D_{\mu_2\rho(m \vee n, d(\mu_2\rho))\xi'}$ . Then it follows from (37) that

$$\begin{aligned}
& \sigma_n(\lambda_2(0, m)\sigma_m(x)) = \sigma_n(\lambda_2(0, m)\sigma_m(x))(0, m \vee n - n)\sigma_{m \vee n}(x) \\
& = \mu_2(n, d(\mu_2))\rho(0, m \vee n - n)\sigma_{m \vee n}(x) \\
& = \mu_2\rho(n, m \vee n)\sigma_{m \vee n}(x) \\
& \in D_{\mu_2(n, d(\mu_2))\rho\xi'} \\
& = D_{\mu_2(n, d(\mu_2))\xi\xi''} \\
& \subseteq D_{\mu_2(n, d(\mu_2))G_2}.
\end{aligned}$$

This contradicts Equation (28), and so we must have

$$(38) \quad \sigma_{m \vee n}(x) \notin D_{\mu_2\rho(m \vee n, d(\mu_2\rho))\xi'} \text{ for all } \xi' \in \bigcup_{\xi \in G_2} F(\mu_2\rho, \mu_2\xi).$$

Similar arguments show that

$$(39) \quad \sigma_{m \vee n}(x) \notin D_{\mu_2\rho(m \vee n, d(\mu_2\rho))\eta'}, \text{ for all } \eta' \in \bigcup_{\eta \in G_1} F(\mu_1(n, d(\mu_1))\beta\tau, \mu_1(n, d(\mu_1))\eta),$$

and

$$(40) \quad \sigma_{m \vee n}(x) \notin D_{\mu_2\rho(m \vee n, d(\mu_2\rho))\eta'}, \text{ for all } \zeta' \in \bigcup_{\zeta \in F_2} F(\lambda_2\alpha\tau, \lambda_2\zeta).$$



It follows from (38), (39) and (40) that  $\sigma_{m \vee n}(x) \notin D_{\mu_2 \rho(m \vee n, d(\mu_2 \rho))J_{\rho, \beta}}$ , and so (b) is satisfied.

To prove the 'if' part of the statement, we assume there exists

$$\begin{aligned} (\alpha, \beta) &\in \Lambda^{\min}(\lambda_2, \mu_1), \\ (\gamma, \delta) &\in \Lambda^{\min}(\lambda_1(m, d(\lambda_1)), \lambda_2(m, d(\lambda_2))\alpha), \text{ and} \\ (\rho, \tau) &\in \Lambda^{\min}(\mu_2(n, d(\mu_2)), \mu_1(n, d(\mu_1))\beta), \end{aligned}$$

such that

$$x \in (D_{\lambda_1 \gamma} \setminus D_{\lambda_1 \gamma H_{\gamma, \alpha}}) \cap \sigma_{m \vee n}^{-1}(D_{\mu_2 \rho(m \vee n, d(\mu_2 \rho))} \setminus D_{\mu_2 \rho(m \vee n, d(\mu_2 \rho))J_{\rho, \beta}}).$$

We have

$$x \in D_{\lambda_1 \gamma} \setminus D_{\lambda_1 \gamma H_{\gamma, \alpha}} \implies x \in D_{\lambda_1} \setminus D_{\lambda_1 F_1},$$

and

$$\begin{aligned} x \in D_{\lambda_1 \gamma} \setminus D_{\lambda_1 \gamma H_{\gamma, \alpha}} &\implies \sigma_m(x) \in D_{\lambda_1(m, d(\lambda_1))\gamma} \setminus D_{\lambda_1(m, d(\lambda_1))\gamma H_{\gamma, \alpha}} \\ &\iff \sigma_m(x) \in D_{\lambda_2(m, d(\lambda_2))\alpha\delta} \setminus D_{\lambda_2(m, d(\lambda_2))\alpha\delta H_{\gamma, \alpha}} \\ &\iff \sigma_m(x) \in D_{\lambda_2(m, d(\lambda_2))} \setminus D_{\lambda_2(m, d(\lambda_2))F_2}. \end{aligned}$$

So (27) is satisfied. We have

$$\begin{aligned} x \in D_{\lambda_1 \gamma} \setminus D_{\lambda_1 \gamma H_{\gamma, \alpha}} &\implies \sigma_m(x) \in D_{\lambda_1(m, d(\lambda_1))\gamma} \setminus D_{\lambda_1(m, d(\lambda_1))\gamma H_{\gamma, \alpha}} \\ &\iff \sigma_m(x) \in D_{\lambda_2(m, d(\lambda_2))\alpha\delta} \setminus D_{\lambda_2(m, d(\lambda_2))\alpha\delta H_{\gamma, \alpha}} \\ &\implies \lambda_2(0, m)\sigma_m(x) \in D_{\lambda_2\alpha\delta} \setminus D_{\lambda_2\alpha\delta H_{\gamma, \alpha}} \\ &\iff \lambda_2(0, m)\sigma_m(x) \in D_{\mu_1\beta\delta} \setminus D_{\mu_1\beta\delta H_{\gamma, \alpha}} \\ &\iff \lambda_2(0, m)\sigma_m(x) \in D_{\mu_1} \setminus D_{\mu_1 G_1}. \end{aligned}$$

We have

$$\begin{aligned} x \in D_{\lambda_1 \gamma} &\implies \lambda_2(0, m)\sigma_m(x)(n, m \vee n) = (\lambda_2(0, m)\lambda_1(m, d(\lambda_1))\gamma)(n, m \vee n) \\ &= (\lambda_2(0, m)\lambda_2(m, d(\lambda_2))\alpha\delta)(n, m \vee n) \\ &= \lambda_2\alpha\delta(n, m \vee n) \\ &= \lambda_2\alpha(n, m \vee n) \\ &= \mu_1\beta(n, m \vee n) \\ &= (\mu_1(n, d(\mu_1))\beta)(n, m \vee n) \\ &= (\mu_1(n, d(\mu_1))\beta\tau)(n, m \vee n) \\ &= (\mu_2(n, d(\mu_2))\rho)(n, m \vee n). \end{aligned}$$

It follows that

$$\begin{aligned} \sigma_n(\lambda_2(0, m)\sigma_m(x)) &= (\lambda_2(0, m)\sigma_m(x))(n, m \vee n)\sigma_{m \vee n}(\lambda_2(0, m)\sigma_m(x)) \\ &= (\lambda_2(0, m)\sigma_m(x))(n, m \vee n)\sigma_{m \vee n}(x) \\ &= (\mu_2(n, d(\mu_2))\rho)(n, m \vee n)\sigma_{m \vee n}(x), \end{aligned}$$

and then we have

$$\begin{aligned}\sigma_{m \vee n}(x) &\in D_{\mu_2 \rho(m \vee n, d(\mu_2 \rho))} \setminus D_{\mu_2 \rho(m \vee n, d(\mu_2 \rho))J_{\rho, \beta}} \\ &\implies \sigma_n(\lambda_2(0, m)\sigma_m(x)) \in D_{\mu_2 \rho(n, d(\mu_2 \rho))} \setminus D_{\mu_2 \rho(n, d(\mu_2 \rho))J_{\rho, \beta}} \\ &\implies \sigma_n(\lambda_2(0, m)\sigma_m(x)) \in D_{\mu_2(n, d(\mu_2))} \setminus D_{\mu_2(n, d(\mu_2))G_2}.\end{aligned}$$

So (28) is satisfied.

To prove the final part of the result, recall that, given  $x \in \partial \Lambda^{\geq m \vee n}$  satisfying (27) and (28), we have the following formula for the pair  $(\rho, \tau)$  in the set  $\Lambda^{\min}(\mu_2(n, d(\mu_2)), \mu_1(n, d(\mu_1))\beta)$ :

$$(\rho, \tau) = \left( \sigma_n(\lambda_2(0, m)\sigma_m(x))_{\mu_2(n, d(\mu_2))}^{\mu_1(n, d(\mu_1))\beta}, \sigma_n(\lambda_2(0, m)\sigma_m(x))_{\mu_1(n, d(\mu_1))\beta}^{\mu_2(n, d(\mu_2))} \right).$$

We then have

$$\begin{aligned}\mu_2(0, n)\sigma_n(\lambda_2(0, m)\sigma_m(x)) &= \mu_2(0, n)(\sigma_n(\lambda_2(0, m)\sigma_m(x)))(0, m \vee n - n)\sigma_{m \vee n}(x) \\ &= \mu_2(0, n)(\mu_2(n, d(\mu_2))\rho)(0, m \vee n - n)\sigma_{m \vee n}(x) \\ &= \mu_2 \rho(0, m \vee n)\sigma_{m \vee n}(x).\end{aligned}\quad \square$$

*Proof of Proposition 6.1.* We have already established Equation (26). Since  $\Lambda$  is finitely aligned, the sums in (26) are finite, and so

$$\iota_m^{m \vee n}(\Theta_{(\lambda_1, F_1), (\lambda_2, F_2)}) \iota_n^{m \vee n}(\Theta_{(\mu_1, G_1), (\mu_2, G_2)}) \in \mathcal{K}(X_{m \vee n}),$$

for every  $m, n \in \mathbb{N}^k$ ,  $(\lambda_1, F_1), (\lambda_2, F_2) \in \mathcal{A}^m$  and  $(\mu_1, G_1), (\mu_2, G_2) \in \mathcal{A}^n$ . It then follows from Proposition 4.5 that  $\iota_m^{m \vee n}(\Theta_{x_1, x_2}) \iota_n^{m \vee n}(\Theta_{y_1, y_2}) \in \mathcal{K}(X_{m \vee n})$ , for every  $x_1, x_2 \in X_m$  and  $y_1, y_2 \in X_n$ . Hence,  $\iota_m^{m \vee n}(S) \iota_n^{m \vee n}(T) \in \mathcal{K}(X_{m \vee n})$ , for every  $S \in \mathcal{K}(X_m)$  and  $T \in \mathcal{K}(X_n)$ .  $\square$

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